Apprenticeship Certificates **England**

ACCEPTABLE EVIDENCE

GUIDANCE NOTES

19th December 2014 v.3

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Quick guide to changes from previous version

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Other

- Removal of all "black" wording from minimum requirement boxes. All
 requirements in "red" are the minimum requirements for that piece of evidence to
 be acceptable.
- Removal of older style PLR reports and logo's.
- Removal of some outdated certificate examples.
- Various amendments to individual basic requirements for acceptance i.e removal of Provider name on some screen shots as mandatory.

| Key | |
|---------------------|--|
| Information Added | |
| Information Removed | |

Introduction

This document supersedes the previous version of the Guidance (issued August 2014) as from 19th December 2014.

The purpose of this document is to provide you with guidance relating to the types of evidence that can be accepted as part of an English Apprenticeship Completion Certificate claim.

Whilst we would always recommend that actual completion certificates are used as evidence of a learner's achievement we do acknowledge that, for a variety of reasons, these are not always available. In these circumstances, there are alternative forms of evidence that can also be accepted. This guidance document gives examples of these and some general principles to follow when submitting achievement evidence on ACE, as part of an English Apprenticeship Completion Certificate claim.

This document provides some examples of evidence that are acceptable and provides information which explains why they are acceptable. Each evidence example has had the key data highlighted so that you can see clearly what you need to be looking for. These are the key pieces of information that individual Certification Bodies* will look for when checking Apprenticeship Completion Certificate claim. The minimum data requirements, irrespective of the type of evidence being used, are listed below. It may be that the evidence you submit incorporates additional data, above and beyond our minimum stated requirements, and this is valuable as it provides further ways in which the evidence can be validated and cross checked with the learner details on ACE.

The minimum data fields that we require to be clearly visible on all submitted evidence are:

- Full name of the learner
- Qualification name
- Level /Grade achieved (However, please note that this is **ONLY** required where achievement of a **specific grade or level** is an explicit Apprenticeship requirement)
- Date of achievement/award
- Awarding Organisation identifier (i.e. logo/web address)
 Please note that some older PLR reports may not have this information included however, those from September 2013 should identify the Awarding Organisation.

These minimum requirements have been highlighted in red in each of the bulleted lists that appears on each of the evidence examples included within this document.

It is important that any evidence you submit on ACE meets these **minimum** requirements. All of the examples of evidence in this document have these key data requirements identified, in addition to other key data that can be used when checking and validating evidence. Please note that we are now able to accept a range of electronic reports/screen shots and statements of results that are readily available to download from a number of online Awarding Organisation databases, Personal Learner Records (PLR) and e-certificates.

*Certification Bodies: - The Federation sits at the centre of a hub and spoke model where it delegates responsibility to individual Certification Bodies (Sector Skills Councils and Standard Setting Bodies) to process Apprenticeship Completion Certificate claim requests, on its behalf. Each Certification Body has specific sectorial remits and uses its knowledge of its occupational area to review claims and ensure that the evidence provided meets the specified framework requirements being claimed for. The Federation's Apprenticeship Services Team oversees and manages the entire process, and produces guidance and supporting documentation for both Certification Bodies and Apprenticeship Completion Certificate claimants.

Further information on the current requirements of the process for claiming an Apprenticeship Completion Certificate for England can be found on the <u>ACE website</u>.

How do I make a successful claim on ACE (see ACE Checklist pg 84)?

ACE Checklist – Guidance to Making a Successful ACE Claim

As a first step, you need to identify the correct Apprenticeship framework document for each learner. All of the current, and archived, Apprenticeship framework documents for England can be found online in the <u>Apprenticeship Frameworks Online library</u> (AFO). The library is easily searchable and copies of framework documents can be downloaded and printed off for reference. The specific framework document required for each Apprentice will depend on their start date. Please make sure that you check the issue date for each framework to ensure that you are referring to the appropriate one.

If you have any questions, or are unsure as to the appropriate Apprenticeship framework document you should be referring to, then please contact the appropriate Certification Body. Each framework will have details of the Issuing Authority responsible for the framework and will also give the relevant contact details. In addition, all of the Certification Body contact details are available on the <u>ACE website</u>.

Each framework document contains information on all the components that are required for the successful completion of each Apprenticeship pathway. Evidence of achievement of all these components will be required when submitting a claim on ACE for an Apprenticeship Completion Certificate.

All evidence submitted needs to meet the minimum criteria as detailed in this guidance document.

What evidence is not acceptable?

As a rule of thumb;

- Any form of evidence that states it is <u>provisional</u> will not be accepted as it doesn't constitute robust evidence of a learner's achievement. Provisional results can be subject to change, prior to final awards being made, and therefore cannot be relied upon as being accurate (A Statement of Results issued by an Awarding Body is acceptable see pg 24 & 25).
- Any form of evidence that does not meet the requirements, as specified in this document will be unacceptable and result in an Apprenticeship Completion Certificate claim being rejected (please see ACE Checklist pg 84)

What formats of evidence are acceptable?

Any evidence that is uploaded to ACE must be of a good quality with all of the required data **clearly visible**. The following commonly used file formats are all acceptable and are ones that we can guarantee can be opened by Certification Bodies:-

- *.PDF
- *.JPEG
- *.DOC
- *.TIF
- *.PNG
- *.ZIP

Other formats can be uploaded to ACE but there could be an issue for Certification Bodies in opening evidence that is in an unusual file format.

Please note there is a 3MB file size limit and it may be necessary for files to be compressed prior to being uploaded to ACE.

On any evidence that contains the details of more than one qualification (e.g. a learner's PLR) then please clearly indicate which specific entry, or entries, are being submitted as achievement evidence.

Evidence should be uploaded to the correct evidence placeholder on the ACE Evidence Tab.

Any single file that is to be used as evidence for more than one Apprenticeship component should have the correct tick boxes selected when the file is uploaded. This will ensure that a copy will be accessible from each of the selected component placeholders on the ACE Evidence Tab.

Acceptable Evidence Types

The remainder of this guidance document includes examples of the most common types of evidence that can be accepted. In addition to actual achievement certificates, this also includes a range of reports and screen shots. This document includes actual examples of the evidence itself and each has been annotated to indicate what information, **as a minimum**, must be included on each of the different types of evidence that you can submit as part of an Apprenticeship Completion Certificate claim on ACE.

Please note that the required data fields on each evidence sample has a red box drawn around it, to assist you in locating the required information as positions vary, depending on the type of evidence being used. All of the red boxes are numbered which relates to the individual numbers in the bulleted list of all of the required data fields which have been added to each of the evidence samples.

If you have achievement evidence that is not in a format either the same, or similar, to those included in this guidance document then this does **not** imply that it will not be acceptable. We have endeavoured to include examples of the most commonly submitted evidence types but we are aware that our sample range is not exhaustive.

If you have an alternative form of evidence, that doesn't appear in this document, then please apply the general principles as to what data is required to be present. You can use the examples within this document as a guide to the general principles as to what is required.

Members of the FISSS management team review new pieces of evidence on a four weekly basis to try and ensure accuracy.

If you remain unsure as to whether or not the evidence you have will be acceptable, then please contact your <u>Certification Body</u> for further advice and guidance.

<u>Please note:</u> For data protection reasons, each piece of sample evidence used in this document has had some of its data amended or obscured. However, all of this data must be fully present and clearly readable on any of the evidence that you submit as part of an Apprenticeship Completion Certificate claim.

Help us to help you!

We will be continually reviewing and developing this guidance document and would really appreciate your feedback and help to do this. If you have an example of learner achievement evidence that is not currently included within this document, but you believe it would be acceptable based on the principles outlined in this document, then please send us a copy for our consideration.

All evidence examples for review and consideration to be sent through the ACE Helpdesk (<u>ACE Online</u> Helpdesk) via the evidence queue.

Personal Learning Record (PLR)

Information & Examples

Personal Learning Record (PLR)

Background

The Learning Record Service (LRS) database is an online application that was introduced in 2007/08 and can only be accessed by registered users. It is a free service and you can easily register for an account (*see details below). The LRS database records the qualification achievements of individual learners at schools, colleges or other further education training establishments. Each learner has a Personal Learning Record (PLR). Please note that PLRs do not typically record Higher Education qualifications achieved at a University.

PLRs are populated by a range of Awarding Organisations. A list of all those currently registered and using LRS can be found on pages 14 and 15.

Individual learners can also use the LRS database to access their own current and past achievement record and they can also opt to allow their records to be shared with their training provider. However, not all learners give permission for their PLR to be shared and, in these cases, the use of a PLR as a learner's achievement evidence would not be available to you.

Getting access to the LRS database

* In order to start to use the LRS database, you will require a UK Provider Reference Number (UKPRN). If you do not already have a UKPRN then please register on the <u>UK Register of Learning</u> <u>Providers</u> website.

Once you have a UKPRN you can register with the <u>Learning Records Service</u> and start to access PLRs for individual learners.

If you have any questions in relation to this process then please contact the <u>Learning Records</u> <u>Service</u> directly.

PLR Limitations

A learner's PLR should always be considered as an alternative source of achievement evidence in cases where actual achievement certificates are not available.

However, historically, the data that was uploaded to PLRs by Awarding Organisations was not consistent, standardised or quality assured. There were no minimum requirements set and this has led to a situation where the quality of some of the historic data that has been input varies considerably. Therefore, as a result of this, not all PLRs will fully meet our minimum requirements as they may be missing key data fields.

If the evidence you have from a PLR does not contain the **minimum** information requirements then it will not be acceptable and you will need to provide an alternative type of evidence to confirm a learner's achievement.

* Note – Between 23rd June 2014 and 17th November 2014 the dates shown on the PLR were in the American Format MM/DD/YY as opposed to the European Standard version DD/MM/YY. Both are acceptable but please be aware of the format.

Understanding a Personal Learning Record (PLR)

There are various reports and screen shots available within each PLR. However, irrespective of the one that is used it must, as a **minimum**, contain the following information:-

- Learner's full name (Forename and Surname)
- Unique Learner Number
- Learner's Date of Birth (not on PDF print out)
- For GCSE Awarding Organisation name, Qualification Type, Aim Description (QAN number), Grade achieved and Award date*.
- For Key Skills Name of Key Skill, Level, Pass and Award date*
- For Functional Skills Name of Functional Skill, Level, Pass and Award date*
- For competence/knowledge qualifications Awarding Organisation name, Level,
 Qualification Type, Aim Description (QAN number), Pass and Award date*

*All qualifications must show an <u>AWARD</u> date to be accepted as robust achievement evidence.
As a mandatory requirement, Awarding Organisations were required to provide qualification

Award Dates from September 2013. Prior to this date not all Awarding Organisations did provide this information on PLRs. Therefore, any PLR reports with qualification information dating prior to September 2013 **may not** have the required Award Date information and **cannot** be accepted as robust achievement evidence and an alternative evidence type should be submitted.

If any of this required information is missing then use of the PLR will not be possible and alternative, acceptable evidence of learner achievement should be sourced.

The PLR examples included in this document show the range of reports/screen shots that you can obtain from the LRS database and can use as acceptable evidence for an Apprenticeship completion Certificate claim. The LRS database was upgraded in summer 2014 and, as a result, the formatting of PLR reports and screen shots has slightly altered. The older printouts and screen shots will continue to be accepted as long as they meet the minimum specified data requirements.

<u>Please note</u>: If the PLR you are using contains the details of **multiple** achievements, please indicate **clearly** which specific entry/entries you want to be considered as achievement evidence.

If you use a highlighter pen to mark achievement entries then you **must check** that the definition of this highlighting is not lost when documents are subsequently copied and/or scanned and uploaded to ACE. As an alternative, you can either clearly indicate the individual entries with an asterix or by drawing a neat line around the relevant entry/entries.

Alternatively, some PDF documents can be opened in Adobe Acrobat and the Highlighter Tool used to mark text and then this highlighting can be saved with the file. It is worth checking if you have the facility to do this.

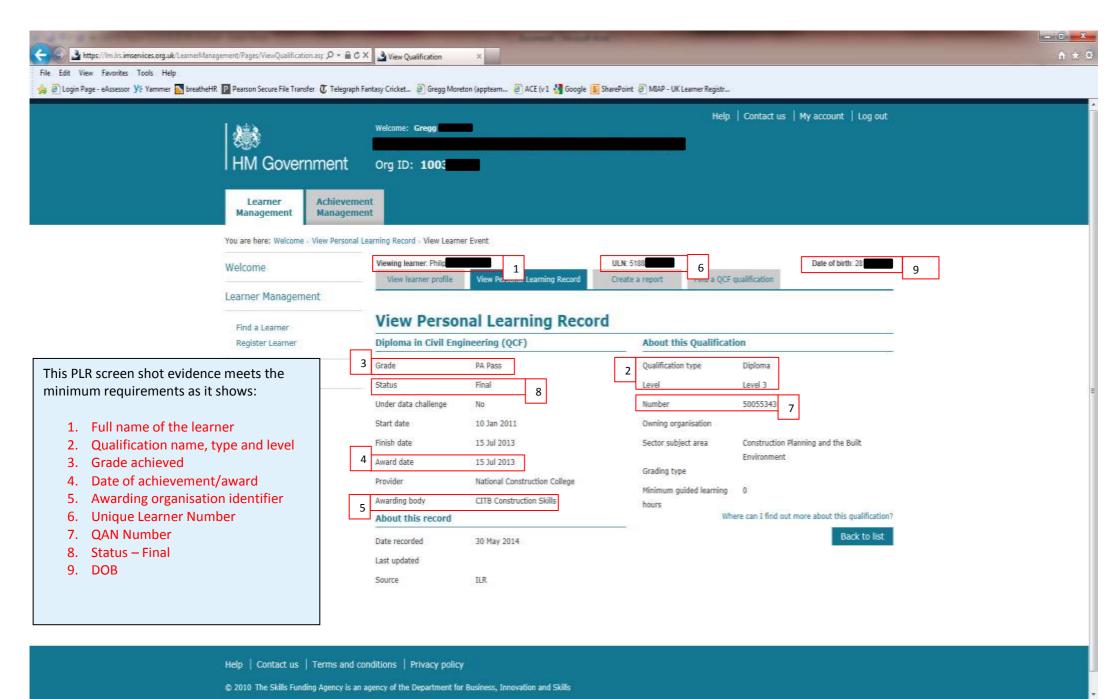
To add highlighting to text using Adobe Acrobat Reader:

- Choose View > Comment > Annotations and select the Highlight Text tool
- Drag from the beginning of the text you want to mark up. Control-drag to mark up a
 rectangular area of text. This feature is especially useful when you are marking up text in a
 column.

| The following two pages list alphabetically the Awarding Organisations currently using the LRS Database |
|--|
| |
| |
| |
| |
| |
| |
| |
| |

| 1st 4Sport | В | Chartered Institute of Personnel and Development | F | |
|--|--|--|---|--|
| A | BIIAB | Chartered Institute of Purchasing and Supply | First Aid Awards Ltd | |
| ABC Awards | BPEC Certification Ltd | Chartered Insurance Institute | Focus Awarding Limited | |
| Accrediting and Assessment Bureau Post Secondary Schools | British Ballet Organisation | Chartered Management Institute (CMI) | Food and Drink Qualifications (FDQ) | |
| Active IQ | British Canoe Union | CIBTAC | Future (Awards and Qualifications) Ltd | |
| Agored Cymru | British Driving Society | City & Guilds of London Institute | G | |
| AIM Awards (Previously Open College Network East Midlands Region) | British Horseracing Education and Standards Trust | | | |
| Amateur Swimming Association | British Institute of Cleaning Science | Confederation of Tourism & Hospitality | Glass Qualifications Authority | |
| AoFA Qualifications | British Institute of Facilities Management | Council for Awards in Care, Health and Education (CACHE) | Graded Qualifications Alliance (GQAL_ | |
| Ascentis | British Safety Council | Counselling and Psychotherapy Central Awarding Body | н | |
| ASDAN | British Wheel of Yoga Qualifications | Cskills (ConstructionSkills) | Highfield Awarding Body for Compliance | |
| Assessment and Qualifications Alliance (AQA) | С | C D | | |
| Associated Board of the Royal Schools of Music | CABWI Awarding Body | WI Awarding Body Defence | | |
| Association of Accounting Technicians | Cambridge International Examinations | E | IAB (International Association of Book-Keepers) | |
| Association of Business Executives | Central Qualifications | Edexcel (Pearson) | IFS School of Finance | |
| Association of Business Managers and Administrators Central YMCA Qualifications | | EDI | ILEX Chartered Institute of Legal Executives | |
| Association of Chartered Certified Accountants | Chartered Institute for Securities & Investment | EMTA Awards Limited (EAL) | ILM (City & Guilds) | |
| Association of International Accountants | Chartered Institute of Building | Engineering Construction Industry Training Board | Imperial Society of Teachers of Dancing | |
| ATHE Limited | Chartered Institute of Environmental Health | English Speaking Board (International) Ltd | Industry Qualifications | |
| Awarding Body for the Built Environment | Chartered Institute of Housing | Equestrian Qualifications Limited | Institute of Animal Technology | |
| Awarding Body for Vocational Achievement Ltd | Chartered Institute of Logistics and Transport in the UK | ETC Awards Limited | Institute of British Sign Language | |

| Institute of Credit Management | М | Р | Sports Leaders UK | |
|--|---|--|--|--|
| Institute of Export | McDonalds | PAA\VQSET | Swimming Teachers Association (Safety Training Awards) | |
| Institute of Hospitality | Mineral Products Qualifications Council | Packaging Industry Awarding Body Company | Т | |
| Institute of Operations Management | N | Prince's Trust | The British Computer Society (BCS, The Chartered Institute for IT) | |
| Institute of qualified lifeguards | NALP (National Association of Licensed Paralegals | Pro Qual Awarding Body | The Institute of Financial Accountants | |
| Institute of Revenues Rating and Valuation | National Federation of Property Professionals | Q | The Learning Machine | |
| Institute of Sales and Marketing Management | NCC Education Services | QUALIFI Ltd | Training Qualifications UK | |
| Institute of the Motor Industry (IMI Awards Ltd) | NCFE | Qualifications Network | Trinity College London | |
| International Dance Teachers Association | NCTJ Training | Qualsafe Awards | U | |
| IoL Educational Trust (formely Chartered Institute of Linguists) | NOCN (National Open College Network) | R | University of Cambridge ESOL Examinations | |
| ITC First Aid Ltd | 0 | Recruitment & Employment Confederation | University of the Arts London (UAL) | |
| ITEC (Education & Media Studies) | Open College Network Eastern Region trading as Gateway Qualifications | Rockschool | University of West London | |
| K | OCN London | Royal Academy of Dance | V | |
| Kaplan Professional Awards | OCN West Midlands Region | Royal Horticultural Society | VTCT | |
| L | OCR | Royal Society for Public Health (RSPH) | w | |
| LAMDA Examinations | Open Awards (Previously OCN NWR/ OCN North West Region) | S | Walsall College/ (Accredited Skills for Industry (ASFI) | |
| Lantra Awards | Open College Network Northern Ireland | Scottish Qualifications Authority trading as SQA | WAMITAB | |
| Laser (OCN South East Region) | Open College Network South West Region Ltd | SFEDI Enterprises Ltd. T/A SFEDI Awards | WJEC CBAC | |
| Lifetime Awarding | Open College Network Yorkshire and Humber Region | Signature (CACDP) | Worshipful Company of Farriers | |
| Logic Certification Limited | Organisation for Tourism and Hospitality Management | Skills for Justice [SFJ] Awards | Worshipful Company of Spectacle Makers | |
| | | Skillsfirst Awards | WSET Awards | |



Personal Learning Record



Learning Events

| Provider | Awarding Body | Туре | Aim Description | Start Date | End Date | Grade | Award Date |
|---|---|------|--|------------|----------|-------|------------|
| OXFORD AND CHERWELL VALLEY COLLEGE | Assessment and Qualifications Alliance | GCSE | AQA Level 1/Level 2 GCSE in Design and Technology | | | А | 01/07/2010 |
| OXFORD AND CHERWELL VALLEY COLLEGE | Assessment and Qualifications Alliance | GCSE | AQA Level 1/Level 2 GCSE in English A | | | D | 01/07/2010 |
| OXFORD AND CHERWELL VALLEY COLLEGE | Assessment and Qualifications Alliance | GCSE | AQA Level 1/Level 2 GCSE in English Literature A | | | С | 01/07/2010 |
| OXFORD AND CHERWELL VALLEY COLLEGE | Assessment and Qualifications Alliance | GCSE | AQA Level 1/Level 2 GCSE in Geography A | | | A | 01/07/2010 |
| OXFORD AND CHERWELL VALLEY COLLEGE | UNKNOWN | GCSE | Edexcel Level 1/Level 2 GCSE in Music | | | A | 01/07/2010 |
| DROYLSDEN SCHOOL MATHEMATICS AND COMPUTING COLLEGE FOR GIRLS | UNKNOWN | GCSE | OCR Level 1/Level 2 GCSE in Science B | | | С | 01/07/2010 |
| OXFORD AND CHERWELL VALLEY COLLEGE | Assessment and Qualifications Alliance | GCSE | AQA Level 1/Level 2 GCSE in Mathematics B (Modular) | | | C | 01/07/2009 |
| DROYLSDEN SCHOOL MATHEMATICS AND COMPUTING COLLEGE FOR GIRLS | UNKNOWN | GCSE | OCR Level 1/Level 2 GCSE in Additional Science B | 2 | | 3 | 01/07/2009 |
| DROYLSDEN SCHOOL MATHEMATICS AND COMPUTING COLLEGE FOR GIRLS | UNKNOWN | GCSE | OCR Level 1/Level 2 GCSE in Applied Business (Double Award) | | | E | 01/07/2009 |
| OXFORD AND CHERWELL VALLEY COLLEGE | Assessment and Qualifications Alliance | GCSE | AQA Level 1/Level 2 GCSE in Spanish B | | | С | 01/01/2009 |

QCF Qualification Achievements

| Provider | Awarding Body | Qualification Level | Title | Reference Number | | Full name of the learner Qualification name, type and L | | |
|----------|-----------------------------------|------------------------|--|---------------------|----------|---|-----------------|---------|
| | Accredited Skills for Industry | Level 3 | ASFI Level 3 Award In The Operation and Colour Management of a Large Format Digital Printer for Signmaking and Associated Industries (OCF) | | 3. 4. | Grade achie Date of ach Unique Lea | eved ievemer | nt |
| | Ascentis | Entry Level | Ascentis Entry Level Award In IT User Skills (ITQ) (Entry 3) (QCF) | | 06/06/20 | 11 | 5 | English |

This PLR report evidence meets the

minimum requirements as it shows:

Schools Information System (SIMS)

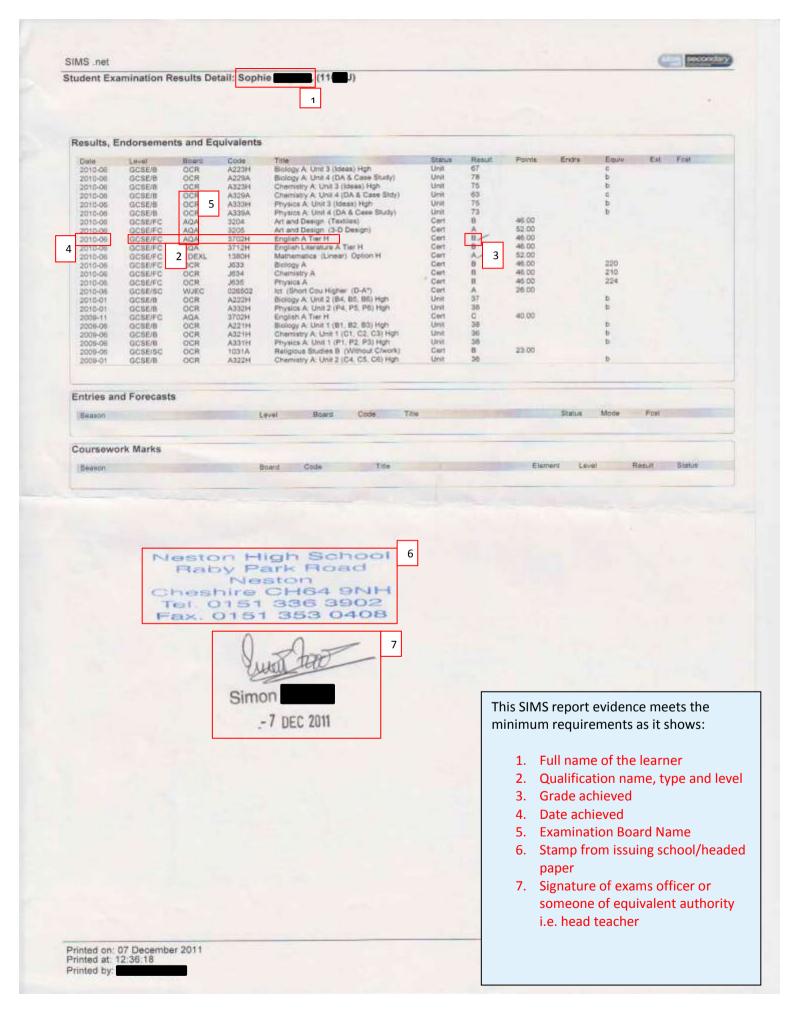
Background

Reports and screen shots from SIMS can be accepted as part of an Apprenticeship Completion Certificate claim on ACE, as long as they meet the **minimum** data requirements as indicated on each type of evidence.

SIMS is directly populated by Awarding Organisations and can only be accessed by a limited number of users within individual schools. If you want to use evidence from SIMS, then, as a **minimum**, the following information will need to be clearly displayed on any evidence submitted:-

- Learner's full name
- Qualification name
- Examination Board name
- Status of achievement i.e. certificate or unit
- Grade/Result
- Date of achievement/award date

SIMS reports/prints <u>must</u> be signed and dated by an appropriate representative from the school, such as an Examinations Officer or Head Teacher and have either the school stamp/logo included or be on school headed paper. If the evidence is missing for any of these requirements then the evidence will not be accepted.



<u>Candidate Management</u> <u>Information System (CMIS) / Facility</u>

Background

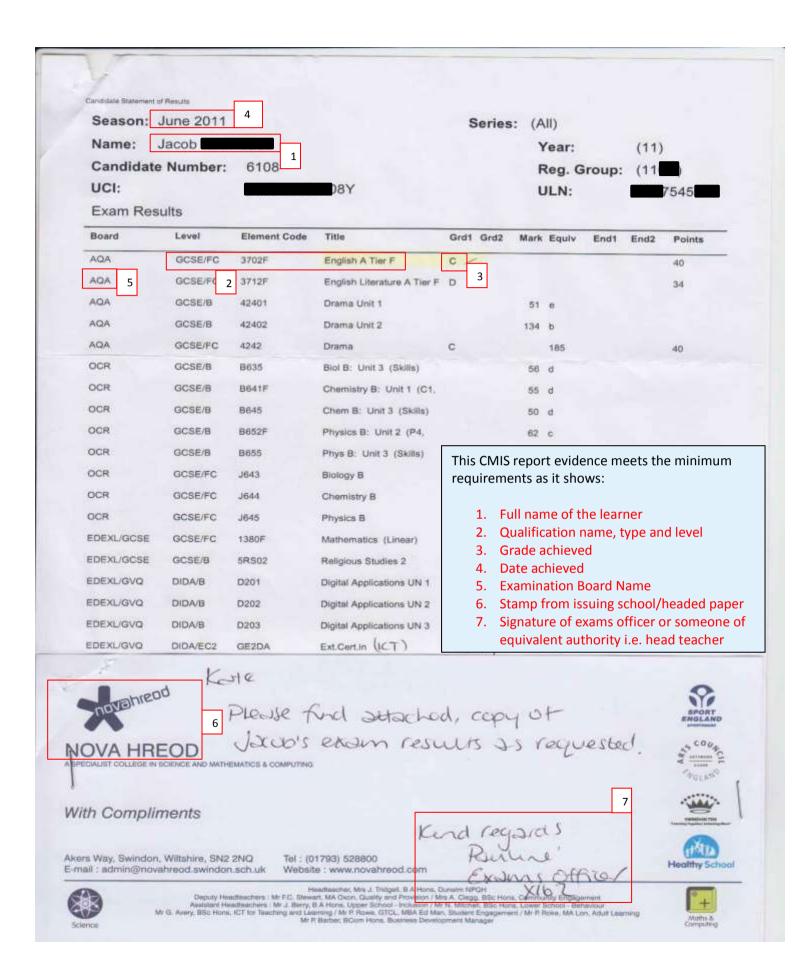
Reports and screen shots from CMIS/Facility can be accepted as part of an Apprenticeship Completion Certificate claim on ACE, as long as they meet the **minimum** data requirements as indicated on each type of evidence.

CMIS/Facility is directly populated by Awarding Organisations and can only be accessed by a limited number of users within individual schools. If you want to use evidence from CMIS, then, as a **minimum**, the following information will need to be clearly displayed on any evidence submitted:-

- Learner's full name
- · Qualification name
- Examination Board name
- Status of achievement i.e. certificate or unit
- Grade/Result
- Date of achievement/award date

CMIS/Facility reports/prints <u>must</u> be signed and dated by an appropriate representative from the school, such as an Examinations Officer or Head Teacher and have either the school stamp/logo included or be on school headed paper. If the evidence is missing for any of these requirements then the evidence will not be accepted.

* Note – In the future this system will be known as Progresso



Awarding Organisation Evidence Alternatives to actual achievement certificates

Information & Examples

Introduction

There are currently around 150 Awarding Organisations, operating in England, who are registered to use the Learning Records Service (LRS) database and regularly populate individual's Personal Learning Records (PLR). However, many of these organisations also have their own certification databases and many of these can be easily accessed online by training providers to produce achievement record reports for individual learners.

Reports and screenshots from these Awarding Organisation databases can be accepted as evidence for an Apprenticeship Completion Certificate claim, providing that all the minimum data requirements are met and the database web link is included on the report/screenshot.

The following section includes multiple examples from a number of Awarding Organisations and each has been annotated to indicate the **minimum** data requirements for each evidence type.

To date, we have included examples from the following Awarding Organisations (and specific other examples):-

- Statements of Results (AQA & Edexcel)
- Grade Change Letters (AQA & Edexcel)
- · City & Guilds
- EDI
- Edexcel
- Skillsfirst Awards Ltd
- Cskills Awards

If the Awarding Organisation evidence that you have does not contain the minimum requirements, as annotated, then the evidence will not be accepted.

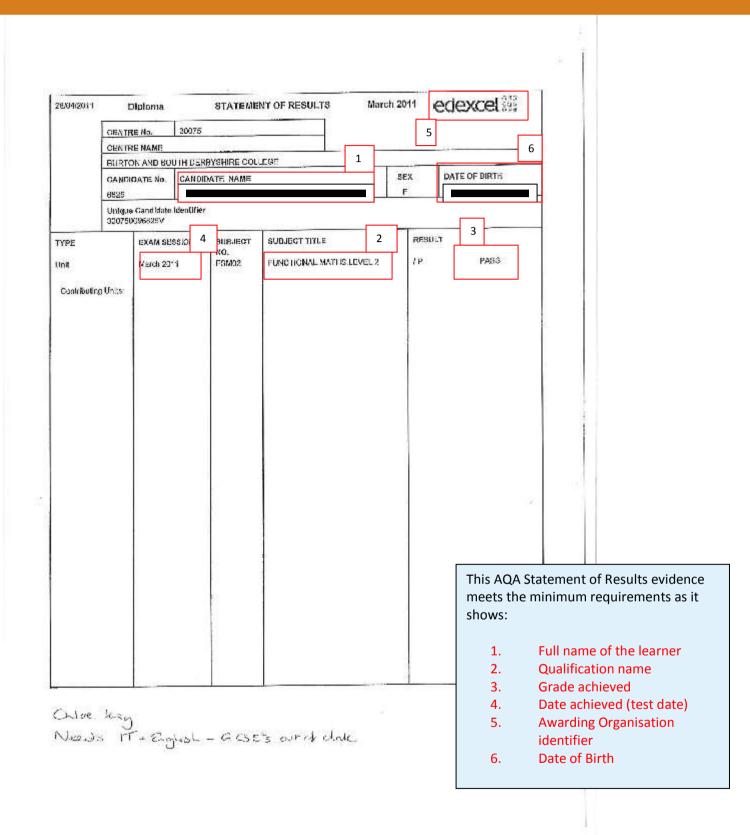
Help us to help you!

If you have examples of evidence that are not currently contained within this document but contain information that matches, or is similar to, the examples included then please contact the Federation **prior** to submitting your Apprenticeship Completion Certificate claim so that we can assess the evidence you are proposing to use. If we agree to the evidence being used, we will approve it and add it to this guidance document as part of our regular review and update process.

All evidence examples for review and consideration to be sent to ace@fisss.org.

Statements of Results

| The state of the s | Statement of | results |
|--|----------------------------------|--|
| General Certifica Secondary Educa | | |
| This document represents an This is to certify that 1 was awarded the following result(s) in the The awarding body for these examination | date of birth e subject(s) shown | tificate |
| June 2009 FULL COURSE (One (1) Subject) ENGLISH (10019777) | | GRADE C(c) |
| June 2009 VOCATI(DOUBLE AWARD CO | n | This AQA Statement of Results evidencets the minimum requirements a |
| | Youhan 16-10-14 | 1. Full name of the learner 2. Qualification name 3. Grade achieved 4. Date achieved (test date 5. Awarding Organisation identifier 6. Date of Birth |
| X1 | | |



Grade Change Letters

edexcel 🏭

Reference: EEX09809 06 May 2013

The Examinations Officer - 43319
Whitworth Park School And Sixth Form College
Whitworth Lane
Spennymoor
Co Durham
DL19 7LN

One 80 High Holborn London WC1V 78H United Klagdom 1: +44 (0)84 4453 2535 www.pearson.com/uk www.pearson.com/uk

MARCH 2013 GCSE EXAMINATIONS Enguiries about Results

Dear Collegatue

Candidate 1504 Outcome

1MAD_MATHEMATICS A (LINEAR)

DtoC

In response to your request for an Enquiry about Results, Service Type 2, I am now writing to confirm that the enquiry has been completed and a revised grade issued as detailed abovs.

Please note if an EAR Service 3 re-moderation has also been requested for the relevant candidate(s)/ subject(s), this outcome is provisional and may be amended on the completion of remoderation.

Any appeal against this decision must be based on the adequacy of Edexos's procedures and their application. Appeals must be lodged by the Centre, in writing, with the Edexoel Appeals Officer, One90 High Holborn London, WC1V 7BH within 14 days of receiving the decision on the result angulry. Appeals from parents or cendidates will not be accepted unless supported by the Centre.

Replacement certificates can be obtained by returning the original to Operations Management at Edexcel. One-0 High Holborn, London, WC1V 7BH.

Edexces will provide formal written confirmation of the grade change to any university or other institution upon request.

Yours faithfully

Peter Challinor (Dr)
Head of Online Assessment

Note: Grade Change letters should be stamped or signed and dated by the provider confirming that the original letter has been seen. To make this acceptable this example should be signed.



Pour un Education: Pagistron é mere e pla se en trodicipaten a regis leto, el 1,4 (H. B. Lagh Gara, Il estou. Espo. 1999) (12). ONT Registrates No. 12: 219 (20) (19)

ALWAYS CHARRING

PEARSON

Dog Ref: 22707411 FARSAS LIT





Date: 24 July 2012

Dear I

Lam responding to your request to provide information to you under the Data Protection Act (1998). You have provided proof of identity which allows me to release the information that AQA holds under the name of Lucy Samantha Bethell, date of birth 20 March 1993.

| AQA | Summer 2009 | GUSE |
|--------------------|-------------|------------|
| Art and Design (F | Photoraphy) | Grade B(b) |
| English | | Grade B(b) |
| English Literature | 2 | Grade B(b) |
| Mathematics | | Grade C(c) |
| Science | | Grade C(c) |
| Additional Science | ie . | Grade C(c) |
| | | |

Yours Sincerely

pp K. Thumpion

Lesley Mills Exam Records

Devas Street, Manchester

Note: Grade Change letters should be stamped or signed and dated by the provider confirming that the original letter has been seen. To make this acceptable this example should be signed.

The Assessment and Qualifications Allience (AQA) is a company limited by guarantee registered in Englishment Watca (company number 3844720) and a registered chanty (registered chanty fregistered chanty f

City and Guilds

City and Guilds have recently started to issue e-certificates which look exactly the same as a normal certificate. They also use an online certification system known as Walled Garden.

In addition to actual City & Guilds certificates, both their e-certificates and reports/screen shots from Walled Garden can be accepted as part of an Apprenticeship Completion Certificate claim on ACE, as long as they meet the **minimum** data requirements as indicated on each type of evidence.

The following section contains examples of:

- A City & Guilds e-certificate
- A screen shot from the Walled Garden
- A PDF report from the Walled Garden

Please note: On the non-certificate evidence we can accept the result as conferred or passed.



5

2

is awarded to

Ondemand Test

who has achieved the following Spreadsheet Processing Techniques - Essential

Pass 3

This City and Guilds e- certificate evidence meets the minimum requirements as it shows:

- 1. Full name of the learner
- 2. Qualification name, type and level
- Grade achieved
- 4. Date achieved
- 5. Awarding Organisation identifier
- 6. Apprentices Gender*
- 7. DOB*
- Certificate Number

*These can be found included within the certificate number; in this case the last few characters are M/01/01/80. From this we can determine that the apprentice is Male and was born on 1st January 1980. This information must match the information for the apprentice on ACE.

Awarded 22 December 2013 FLL6-8TP4-LHDV-F7Z6-ZCSV 8

Ph Amit

00003

Sir John Armitt, CBE FREng FCGI Chairman The City and Guilds of London Institute 221213/8970-22/851005E/CSG-+7-3/W010180

5500860799

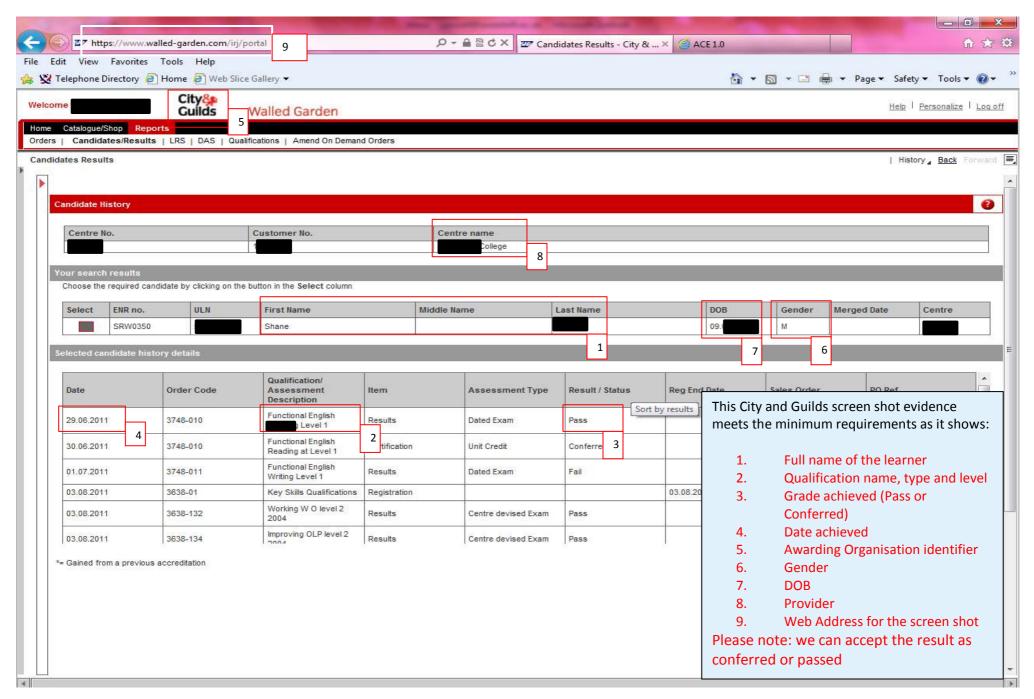
Cho ons

Chris Jones Director-General The City and Guilds of London Institute



The City and Guilds of London Institute founded 1878 and incorporated by Royal Charter 1900.





Candidate History

| Date and time of report: | 13.02.2013 | 12:40 |
| Candidate Name: | Warren | 1 |
| Candidate ID: | WK3 | |
| Gender: | Male | 7 |
| Date of Birth: | 18.05.1 | |
| ULN: | 9147236 | |
| Merged Date | |



| Date | Order Code | Qualification/ Assessment Description | Item | Assessment Type | Result / Status | Reg End Date | Sales Order | PO Ref | |
|------------|------------------|--|---------------|------------------------|---|--------------------|----------------------------------|-------------|---------|
| 22.07.2011 | 6088-001 | Maintain the Safe Working Environment fo | Results | Centre devised Exam | | | lds report evi ements as it s | | ets th |
| 22.07.2011 | 6088-002 | Maintain Effective Working Relationships | Results | Centre devised Exam | Full name of the learner Qualification name, type an | | | | |
| 22.07.2011 | 6088-003 | Contribute to the Improvement of Busines | Results | Centre devised Exam | The full qualification title movisible. If not visible then alternative screen shots (pg should be used. 3. Grade achieved 4. Date achieved | | | | |
| 22.07.2011 | 6088-004 | Install Heating & Ventilating Systems & | Results | Centre devised Exam | | | | | (1-000) |
| 22.07.2011 | 6088-005 | Pre-commission & Decommission Heating & | Results | Centre devised Exam | 5. Awarding Organisation6. Gender | | ation ident | identifier | |
| 22.07.2011 | 6088-201 | Achieved in the Context of Gas Systems | Results | | 7. DOB 8. Web Address for the report Please note: we can accept the resul conferred or passed | | | | |
| 22.07.2011 | 6088-001 | Maintain the Safe Working Environment fo | Certification | Unit Credit | | | | | |
| 22.07.2011 | 6088-002 | Maintain Effective Working Relationships | Certification | Unit Credit | Conferred | | 0302178451 | Certificate | |
| 22.07.2011 | 6088-003 | Contribute to the Improvement of Busines | Certification | Unit Credit | Conferred | | 0302178451 | Certificate | |
| 22.07.2011 | 6088-004 | Install Heating and Ventilating Systems | Certification | Unit Credit | Conferred | | 0302178451 | Certificate | |
| 22.07.2011 | 6088-005 | Pre-commission and Decommission Heating | Certification | Unit Credit | Conferred | | 0302178451 | Certificate | |
| 22.07.2011 | 6088-01A- 001 | Level 2 NVQ in Heating and Ventilating (| Certification | Qualification | Conferred | 3 | 0302178451 | Certificate | |

https://www.wailed-garden.com/irj/portal#

*= Gained from a previous accreditation

1/1

EDI

EDI (also known as Pearson EDI) use an online certification system known as Campus.

In addition to actual EDI certificates, reports/screen shots from Campus can be accepted as part of an Apprenticeship Completion Certificate claim on ACE, as long as they meet the **minimum** data requirements as indicated on each type of evidence.

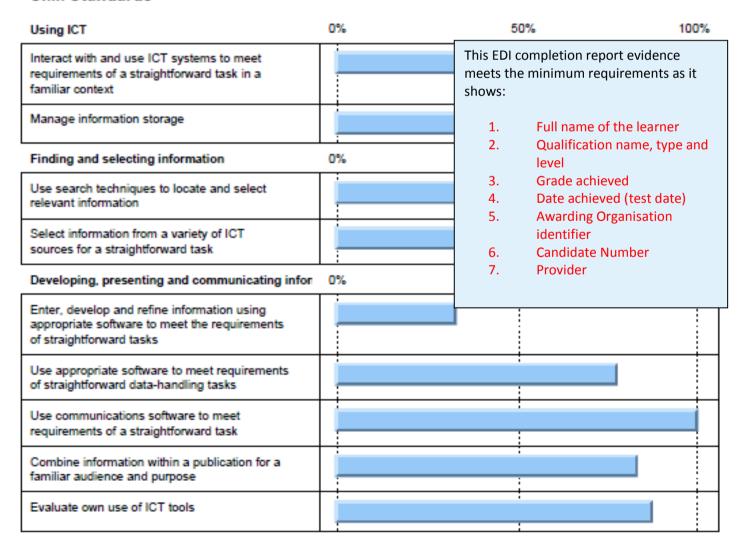
The following section contains examples of:

- An EDI actual achievement Certificate (currently they do not issue e-certificates)
- A Candidate report from Campus
- "Old" style EDI certificates

Skill Standards



Skill Standards



Certificate

This is to certify that



has been awarded the

Pearson EDI Level 2 NVQ Certificate in Team Leading (QCF)

(Accreditation No. 500/9208/X)

5

having satisfied the requirements of Pearson Education Limited

This EDI certificate evidence meets the minimum requirements as it shows:

- 1. Full name of the learner
- 2. Qualification name, type and level
- 3. Date achieved
- 4. Awarding Organisation identifier
- 5. QAN Number
- 6. Certificate Number

flahi

Lesley Davies Responsible Officer

5

Supporting learning and performance

3 HDER18/12344533 Issued Date 19 Dec 2013 Serial No. 268





Pearson EDI Qualifications are awarded by Pearson Education Limited

Certificate



This is to certify that

LOUIS

has been awarded

EDI Level 2 Key Skills in Application of Number

(Accreditation No. 100/0295/9)

having satisfied the requirements of Education Development International

This is an EDI certificate showing the completion of a Key Skills Qualification:

- 1. Full name of the learner
- 2. Qualification name, type and level
- 3. Date achieved
- 4. Awarding Organisation identifier
- 5. QAN number
- 6. Certificate Number

Issued Date 02 Oct 2007

HTRW1/1014213

Sental Mo.

Nigel Snook Chief Executive



Qualifications and Curriculum Authority





Edexcel

Edexcel are part of the Pearson group and use an online certification system known as Edexcel OnLine (EOL) or Resultsplus.

In addition to actual Edexcel certificates, reports/screen shots from EOL can be accepted as part of an Apprenticeship Completion Certificate claim on ACE, as long as they meet the **minimum** data requirements as indicated on each type of evidence.

The following sections provide examples of:

- An Edexcel e-certificate
- Certifying statement of results
- Notification of performance
- Results report from EOL
- · Screen shot from EOL
- Diploma transcript
- "Old" style of Edexcel certificate
- Functional Skills certificate with Date of Birth issue (pg 44)
 The date of birth is listed as "010101" which is clearly not the candidates Date of Birth. The centre has inputted this number to enable them to claim the certificate. This should only be seen on a Functional Skills Certificate (and very rarely). This is acceptable as Pearson will have carried out additional checks to ensure that achievement is genuine.



Pearson

BTEC Level 7 Extended Diploma

This is a Pearson Awards certificate showing the completion of a Level 7 Diploma Qualification:

- 1. Full name of the learner
- 2. Qualification name, type and level
- 3. Date achieved
- 4. Awarding Organisation identifier
- 5. Certificate Number

In Strategic Management and Leadership (QCF

is awarded to

SAMPLE CANDIDATE

Who has completed an approved programme at

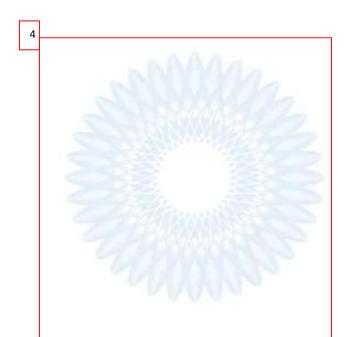
AN APPROVED BTEC CENTRE

3

AWARDED: JANUARY 2014

5 10301:2041:L0H240541: 024067004:ISSUED 01-JAN-201-

Lesley Davies Responsible Officer Pearson Education Ofqual

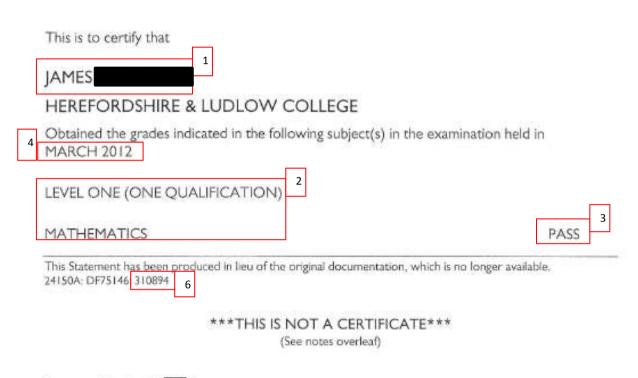


PEARSON



² Pearson Edexcel Functional Skills

Certifying Statement of Results



Statement Number Z0 4 Issued 24/06/2014

This Edexcel certifying statement of results evidence meets the minimum requirements as it shows:

- 1. Full name of the learner
- 2. Qualification name, type and level
- 3. Grade achieved
- 4. Date achieved
- 5. Awarding Organisation identifier
- 6. DOB

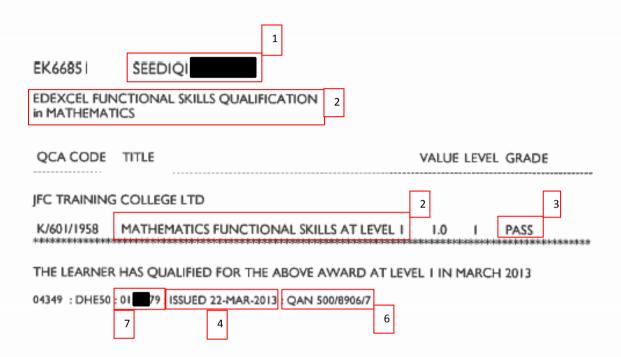
Lesley Davies Responsible Officer Pearson Education



38

edexcel

Notification of Performance



This Edexcel notification of performance evidence meets the minimum requirements as it shows:

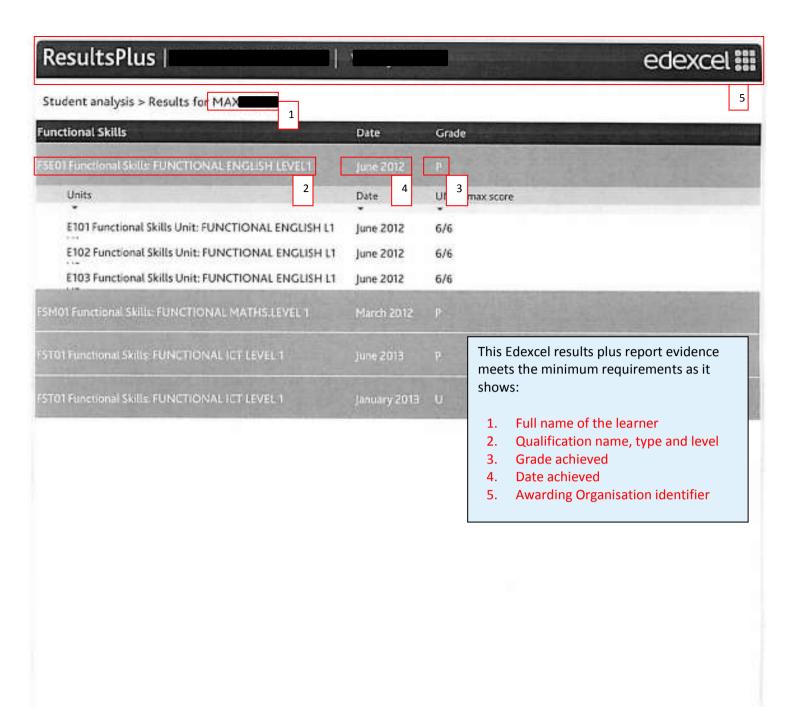
- 1. Full name of the learner
- 2. Qualification name, type and level
- 3. Grade achieved
- 4. Date achieved
- 5. Awarding Organisation identifier
- 6. QAN number
- 7. DOB

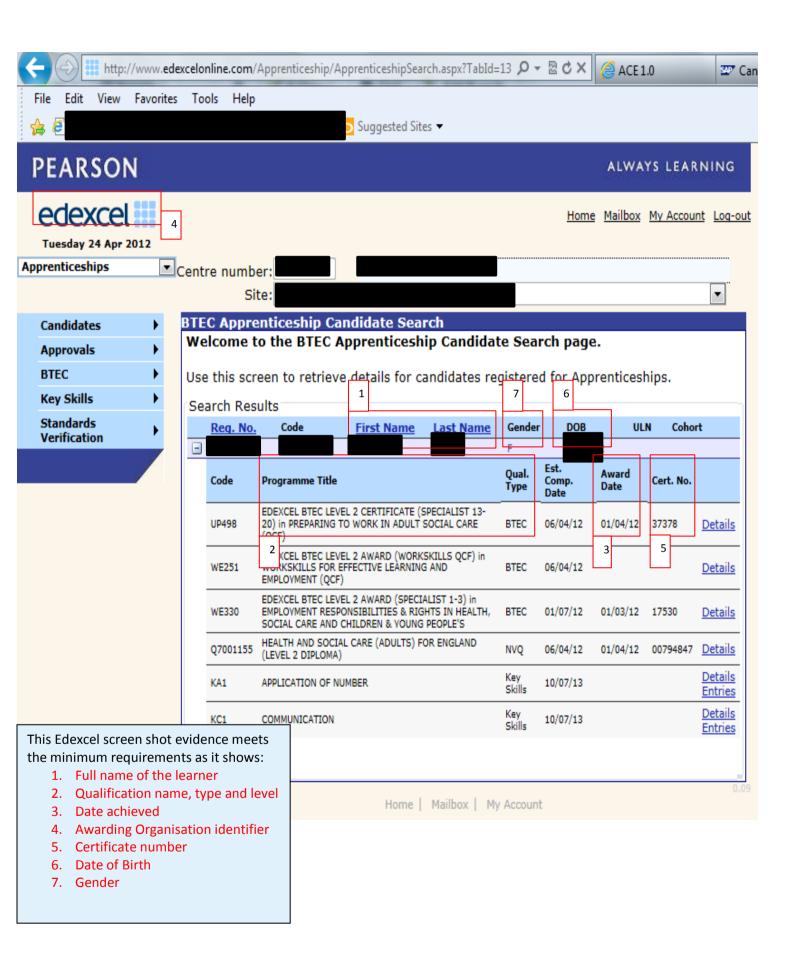






RIPONATOR SZR







Diploma Transcript

This Edexcel evidence meets the minimum requirements as it shows:

- Full name of the learner 1.
- Qualification name, type and level
- Grade achieved
- Date achieved
- 5. **Awarding Organisation identifier**
- **QAN** number

ULN 15 61 07

LAUREN

EDEXCEL LEVEL 3 ADVANCED DIPLOMA in SOCIETY, HEALTH AND DEVELOPMENT

| QAN CODE | TITLE CF | EDIT VALUE | GRADE | AWARD DATE |
|---|--|------------|------------------------|--|
| PRINCIPAL | LEARNING | | | |
| PRINCIPAL L | EARNING OVERALL | | | |
| 500/2368/8 | EDEXCEL LEVEL 3 PRINCIPAL LEARNING IN SOCIETIES. HEALTH AND DEVELOPMENT | ry, | D | JUNE 2012 |
| PRINCIPAL L | EARNING UNITS | | | 92 |
| A/500/9248 A/500/9251 F/500/9249 J/500/9253 R/500/9255 T/500/9250 PROJECT | THE SECTORS IN CONTEXT COMMUNICATION AND INFORMATION SHARING PRINCIPLES AND VALUES IN PRACTICE PERSONAL AND PROFESSIONAL DEVELOPMENT IN WORK ENVIRONMENT SAFEGUARDING AND PROTECTING INDIVIDUALS A SOCIETY PARTNERSHIP WORKING | 1000 | D B UNCLASSIFIED C C D | JUNE 2012 JUNE 2012 JUNE 2011 JUNE 2012 JUNE 2012 JUNE 2012 |
| 500/2372/X | EDEXCEL LEVEL 3 EXTENDED PROJECT | | U | JUNE 2012 |
| FUNCTION | AL SKILLS | 2 | 3 | |
| 500/9318/6 501/0639/9 501/0987/X | CITY & GUILDS LEVEL 2 AWARD IN FUNCTIONAL SI IN ENGLISH CITY & GUILDS LEVEL 2 AWARD IN FUNCTIONAL SI IN ICT CITY & GUILDS LEVEL 2 AWARD IN FUNCTIONAL SI IN MATHEMATICS | CILLS | PASS PASS | SEPTEMBER 2011 FEBRUARY 2012 DECEMBER 2010 |
| ADDITION | AL AND SPECIALIST LEARNING | | | 222 |
| 100/3338/5 | OCR LEVEL 3 NATIONAL CERTIFICATE IN HEALTH, S CARE AND EARLY YEARS | OCIAL | MERIT | AUGUST 2012 |

Isabel Sutcliffe Responsible Officer Pearson Education



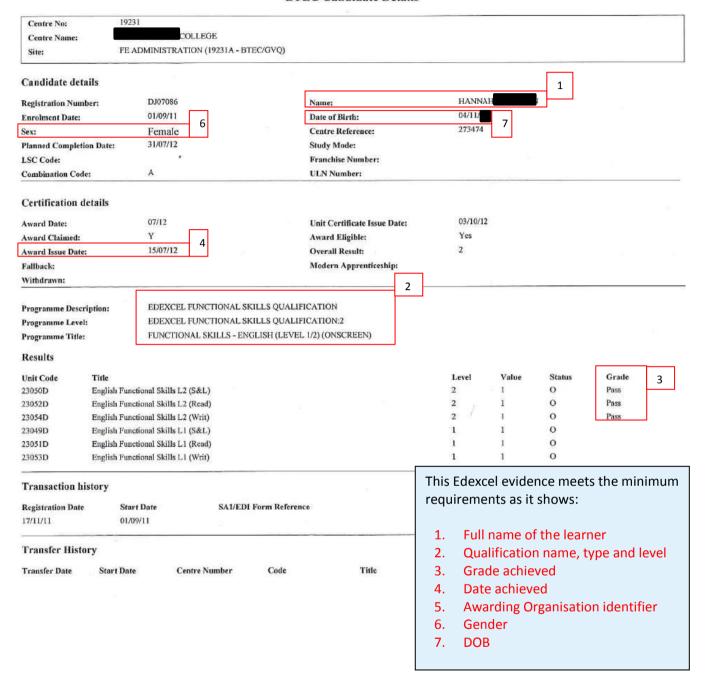








BTEC Candidate Details



edexcel

Pearson Edexcel Qualifications

JULY 2014

This is to certify that



obtained the grades indicated in the following qualification(s)

ONE SUBJECT

GRADE

QAN

FUNCTIONAL SKILLS QUALIFICATION IN ENGLISH AT LEVEL 2

PASS

500/8683/2

08658:0115:L4H000069:010101: SSUED 12-SEP-2014

This Edexcel evidence shows the candidates Date of Birth as 010101. Please see pg 36 for explanation.



Lesley Davies
Responsible Officer
Pearson Education Ltd.







Skillsfirst Awards Ltd

The Skillsfirst Awards section includes examples of:

- An actual certificate
- Screen shot of overall qualifications
- Screen shot of qualification details



Skillsfirst Awards

Level 3 Certificate in Preparing to Work in Adult Social Care (QCF)

600/0586/5

This certificate is awarded to

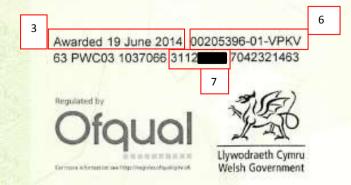
Gemma

The holder has one or more formal credit certificates within the Qualification Credit Framework by which this qualification was achieved

m.um

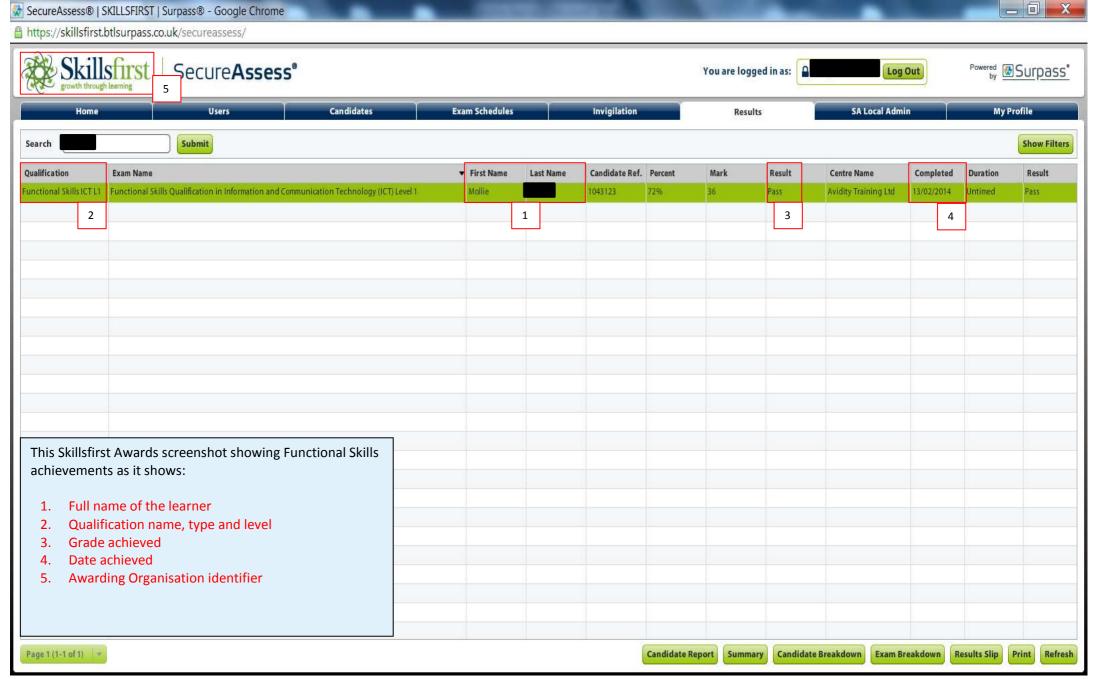
Myra Wall Managing Director This Skillsfirst certificate evidence meets the minimum requirements as it shows:

- 1. Full name of the learner
- 2. Qualification name, type and level
- 3. Date achieved
- 4. Awarding Organisation identifier
- 5. QAN number
- 6. Certificate Number
- 7. DOB









Cskills Awards

The Cskills Awards section includes examples of:

- A Cskills Certificate
- A Cskills candidate report





This

Cskills Awards

Level 3 NVQ Diploma

in

Occupational Work Supervision (Construction) (QCF)

(600/3514/6) 5

is awarded to

Dummy AOL-Qualification-16

1

Colin Evans Head of Cskills Awards

Regulated by

For more information see http://register.ofqual.gov.uk

Issued Date: 31-10-2013

This Cskills awards certificate evidence meets the minimum requirements as it shows:

- 1. Full name of the learner
- 2. Qualification name, type and level
- 3. Date achieved
- 4. Awarding Organisation identifier
- 5. QAN number
- 6. Certificate Number



Candidate Record

1 Candidate Name: Dummy AOL-Qualification-41

Candidate registration number: 4969723 5

Candidate date of birth: 29/02/1924 6

Achievements

Qualification Registration Achievement Issued Delivery Method Date Date Date 2 7 QUC849 - L2 NVQ Diploma in Tunnelling Operations ew Entrant 20/01/201 20/01/2014 21/01/2014 (Construction) - Tunnelling Machine Operator QCF177 - Excavating and Profiling Tunnels in the 20/01/2014 Workplace QCF641 - Conforming to General Health, Safety and 20/01/2014 Welfare in the Workplace QCF643 - Moving, Handling and Storing Resources in 20/01/2014 the Workplace QCF642 - Conforming to Productive Working Practices in 20/01/2014 the Workplace QCF568v2 - Support the Forming of Tunnels using 20/01/2014 Specialist Skills in the Workplace Tunnelling Machine Operator 20/01/2014

QCF390Tv3 - Preparing and Operating Specialist Tunnelling Plant to Form Tunnels in the Workplace

Tunnel boring machine

QUD849 - L2 NVQ Diploma in Tunnelling Operations (Construction) - Sprayed Concrete Lining Tunnelling Operative

QCF123 - Preparing Substrate the Workplace

QCF641 - Conforming to Gene Welfare in the Workplace

QCF643 - Moving, Handling ar the Workplace

QCF642 - Conforming to Produ the Workplace

QCF568v2 - Support the Form Specialist Skills in the Workpla Sprayed Concrete

Operative QCF124v2 - Providing Structu

New Entrant

requirements as it shows:

This Cskills awards candidate report

Full name of the learner

evidence meets the minimum

- 2. Qualification name, type and level
- 3. Date achieved
- Awarding Organisation identifier 4.

20/01/2014

- 5. **Candidate Registration Number**
- 6. DOB
- 7. **Issue Date**

Concrete in the Workplace

Spraying machinerpump operative

Robotic spraying equipment wet

QCF390Tv3 - Preparing and Operating Specialist Tunnelling Plant to Form Tunnels in the Workplace

Sprayed concrete plant and

equipment.

QUM849 - L2 NVQ Diploma in Tunnelling Operations (Construction) - Tunnel Transport Operator

20/01/2014 20/01/2014 21/01/2014 New Entrant

QCF641 - Conforming to General Health, Safety and 20/01/2014 Welfare in the Workplace

QCF642 - Conforming to Productive Working Practices in 20/01/2014 the Workplace

QCF643 - Moving, Handling and Storing Resources in 20/01/2014

the Workplace

Screen shots acceptable from other sources

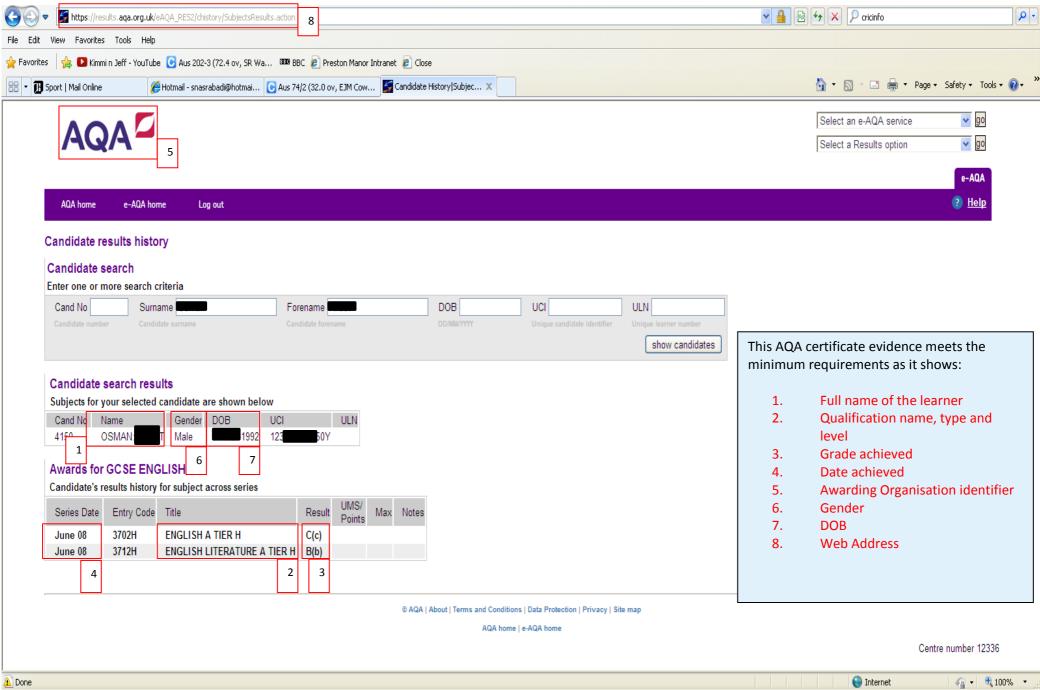
As well as the Awarding Organisations that have already been included within this document there are many more from which we can accept evidence that is not an actual achievement certificate. Other organisations have their own online certification systems which can be accessed to produce reports and screen shot evidence for learners.

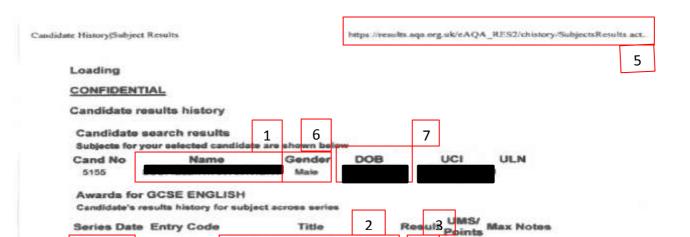
Evidence of this type can be accepted as part of an Apprenticeship Completion Certificate claim on ACE, as long as they meet the **minimum** data requirements as indicated on each type of evidence.

Some of the more common ones that we get submitted on ACE are included in the following section.

These include examples from:

- AQA
- OCR
- EAL
- IMI
- NCFE
- SQA
- CACHE





C(c)

C(e)

ENGLISH A TIER H

ENGLISH LITERATURE A TIER H

Centre number 16533

This AQA screen shot evidence meets the minimum requirements as it shows:

- 1. Full name of the learner
- 2. Qualification name
- 3. Grade achieved
- 4. Date achieved
- 5. URL
- 6. Gender
- 7. DOB
- 8. Date Printed

Note: This is taken from the e-AQA site, Candidate History Page

8 06/11/2014 14:37

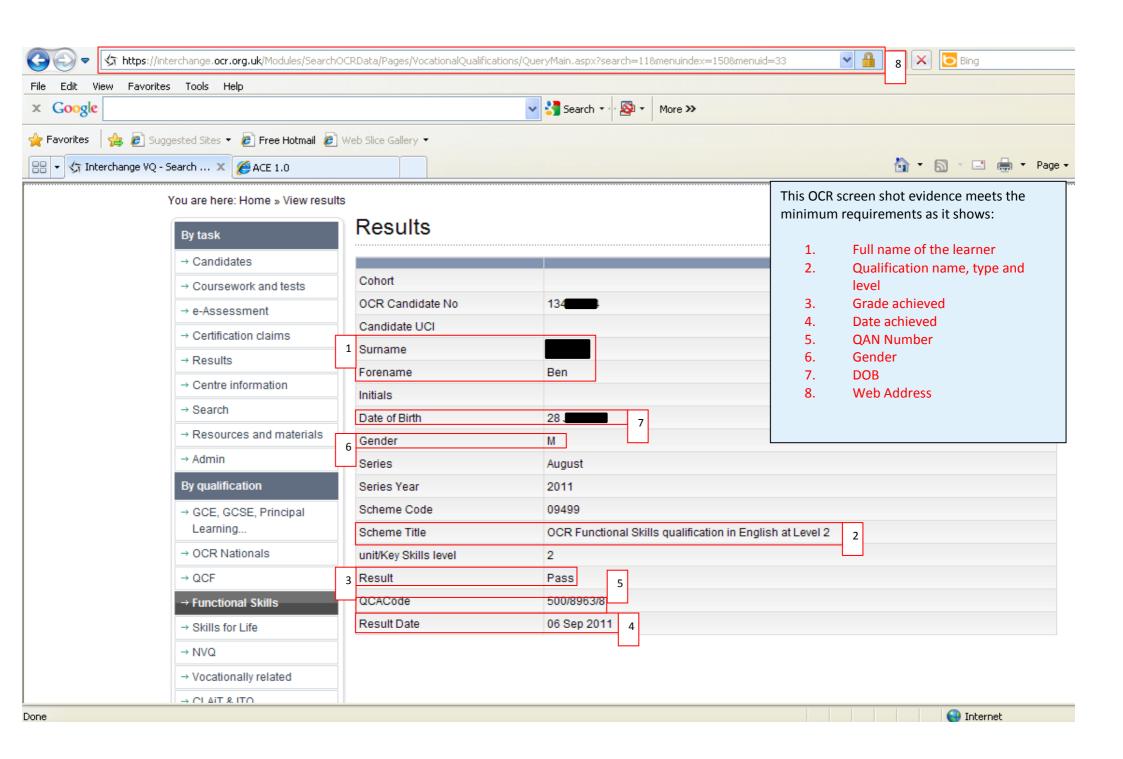
t of t

JUNE 10

JUNE 10

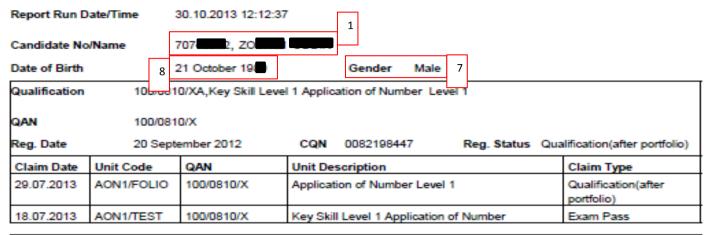
3702H

3712H





Learner History



| Qualification | 100/0814 | 100/0814/7A,Key Skill Level 1 Communication Level 1 | | | | |
|---------------|-------------|---|----------|----------------------|----------------|--------------------------------|
| QAN | 100/0814 | 4/7 | | | | |
| Reg. Date | 20 Septe | mber 2012 | CQN | 0082198448 | Reg. Status Qu | alification(after portfolio) |
| Claim Date | Unit Code | QAN | Unit Des | scription | | Claim Type |
| 29.07.2013 | COMM1/FOLIO | 100/0814/7 | Commun | nication Level 1 | | Qualification(after portfolio) |
| 18.07.2013 | COMM1/TEST | 100/0814/7 | Level 1 | Certificate in Adult | Literacy | Exam Pass |

Qualification 501/1707/5, EAL Level 2 Certificate in Warehousing and Storage Level 2 QAN 501/1707/5 6 Reg. Date 20 September 2012 CQN 0082198818 Reg. Status Full Claim(one go) Claim Date Unit Code QAN Unit Description Claim Type 17.10.2013 QWAS2/019 D/601/7921 Operate equipment to perform work requirements Full Claim(one go) in logistics operations QWAS2/017 T/601/7911 17.10.2013 Keep stock at required levels in logistics Full Claim(one go) operations 17.10.2013 QWAS2/011 J/601/7914 Moving and/or handling goods in logistics Full Claim(one go) operations 17.10.2013 QWAS2/009 F/601/7913 Keep work areas clean in logistics operations Full Claim(one go) 17.10.2013 QWAS2/005 T/601/7925 Place goods in storage in logistics operations Full Claim(one go) 17.10.2013 QWAS2/003 R/601/7916 Pick goods in logistics operations Full Claim(one go)

17.10.2013 QWAS2/002 H/601/7919 Develop effective working relationships with Full Claim(one go) colleagues in log
17.10.2013 QWAS2/001 K/502/1072 Health, Safety a

Develop effective working relationships with Full Claim(one go)
This AQA certificate evidence meets the minimum requirements as it shows:

- 1. Full name of the learner
- 2. Qualification name, type and level
- 3. Grade achieved (known as claim type
 - MUST be Full Claim or Pass)
- 4. Date achieved
- 5. Awarding Organisation identifier
- 6. QAN Number
- 7. Gender
- 8. DOB

Page 1 of

Acceptable Evidence Guidance document 19th December 2014 © FISSS



Click here to log into Web Portal 2



| Registration | Candidates | s Certification | on Cent | res AT/ | (/AMA | Reports |
|--|--|--|---|---|------------------|-----------------|
| iome >> Candidates | >> View Candidate | | | | | |
| View Candidate | Details | | | | | |
| Jse this screen to vie | w candidate details | | 10.00 mm/s 2000 | | Parameter Langue | 2000-022-020-02 |
| Candidate Number | 695-02-08 | Enter OR | Search | ew Personal Detail | Print | Clear |
| Candidate | | | 88 | | | |
| Number. | Title First Name | Surname | Date of Bir | th Registration D | ate | YVE DEL |
| 0695- | Mr Nimesh | | 42 fac | 7 6-Feb-2008 | State of the | |
| 007J | m muran | 1 | 13-Jan- | 7 /0-1/60-2000 | | |
| | Mulicat | 1 | ro-Jan- | 7 O-Feb-2000 | | |
| Qualifications 100/0636/9 | Communication | | 08-Sep-2009 | 7 0-7-6D-2000 18-Aug-2010 | Yes | Completed & |
| Qualifications | | | | | Yes Yes | Completed & |
| Qualifications 100/0636/9 | Communication | Repair | 08-Sep-2009 | 18-Aug-2010 | | |
| Qualifications 100/0636/9 100/5532/0 (LV) | Communication Vehicle Maintenance & Vehicle Maintenance & | Repair | 08-Sep-2009 20-Mar-2009 | 18-Aug-2010 10-Jan-2011 | Yes | Completed |
| Qualifications 100/0636/9 100/5532/0 (LV) 100/5533/2 (LV) | Communication Vehicle Maintenance & Vehicle Maintenance & | Repair Repair Maintenance and Repair | 08-Sep-2009 20-Mar-2009 24-Mar-2011 | 18-Aug-2010 10-Jan-2011 13-Feb-2012 | Yes Yes | Completed 5 |

| Unit No. | Description | Registered | Unit Completed | Withdrawn | Certificate Issued | Proxy | 4 10 |
|--|--|-------------|----------------------------|--------------------------------|-----------------------|-------|------|
| J/103/2121 | Maintain positive working relationships | 20-Mar-2009 | 10-Jan-2011 | No | No | No | |
| L/103/2203 LV | Remove and replace engine units and components | 20-Mar-2009 | 10-Jan-2011 | No | No | No | |
| Y/103/2219 LV | Inspect vehicles | 24-Mar-2011 | 13-Feb-2012 | No | No | No | |
| A/103/2231 LV | Diagnose and rectify vehicle chassis system faults | 24-Mar-2011 | 13-Feb-2012 | No | No | No | P |
| A Park Control of the | Ni | ZALAF IP-A | TALL TO CHEST OF THE SPORT | to the contract of the last of | | | , in |

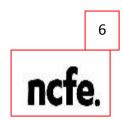
On-line assessments

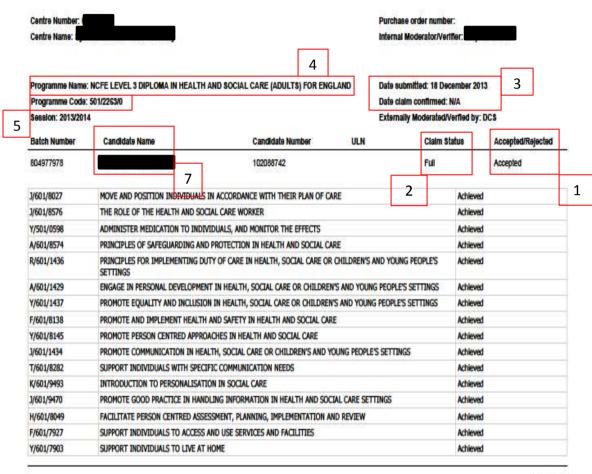
| Assessment No. | Description |
|----------------|--|
| AE06LV | Diagnose and rectify auxilliary equipment electric |
| ap2 | Application of Number Level 2 |
| BF4 | Operating Principles of Vehicle Tyre, Brakes, Ste |
| BF5 | Operating Principles of Engines |
| BF6 | Operating Principles of Vehicle Transmission Sys |

This IMI screen shot evidence meets the minimum requirements as it shows:

- 1. Full name of the learner
- 2. Qualification name, type and level
- 3. Grade achieved (completed)
- 4. Date achieved
- 5. Awarding Organisation identifier
- 6. QAN Number
- 7. DOB



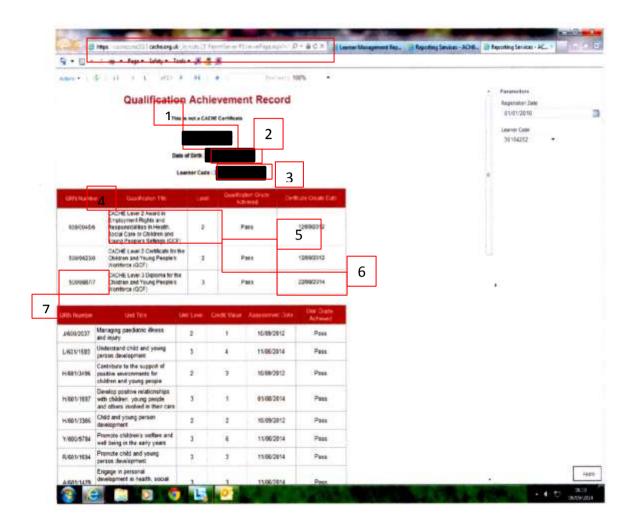




Page 1 of 1

This NCFE screen shot evidence meets the minimum requirements as it shows:

- 1. Claim Accepted
- 2. Claim Status (must state FULL)
- 3. BOTH date submitted & claim confirmed must be completed.
- 4. Title & Level of Qualification
- 5. QAN Number
- 6. Awarding Body Identifier
- 7. Candidate Name



This CACHE screen shot evidence meets the minimum requirements as it shows:

- 1. Full name of the learner
- 2. DOB
- 3. Learner Number
- 4. Title & Level of Qualification
- 5. Result
- 6. Certificate Date
- 7. QAN Number

Awarding Organisation Evidence Actual achievement certificates

Information & Examples

Ofqual Requirements for Awarding Organisation Certificates

All Awarding Organisation achievement certificates contain different information but they must all adhere to a set of Ofqual regulations, which stipulate that all qualification certificates must be clear and contain the following key information:-

- The full name of the Awarding Organisation, as recognised by Ofqual
- The full name of the Learner, as registered with the Awarding Organisation
- For qualification certificates Qualification Number, as assigned on the Ofqual Register
- For credit certificates Unit Reference Number/s as assigned on the Ofqual Register
- The level of attainment of the Learner
- The level of the qualification or credit achieved
- The date (day/month/year) that the Awarding Organisation confirms the Learner's attainment by the issue of a certificate
- Ofqual's logo in line with Logo Requirements, which may be published by Ofqual and updated from time to time

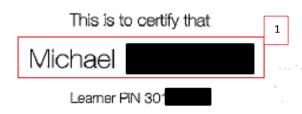
NB: An Awarding Organisation may also include other relevant logos, including those of other regulators, where appropriate.

The following section of this document includes examples of actual achievement certificates from a range of Awarding Organisations and each has been annotated to indicate the **minimum** data requirements that must be included and clearly visible on each certificate. These examples can be used as a guide of the general principles that we will apply when deciding whether or not an evidence type is suitable to be accepted as robust achievement learner evidence, as part of any Apprenticeship Completion Certificate claim.

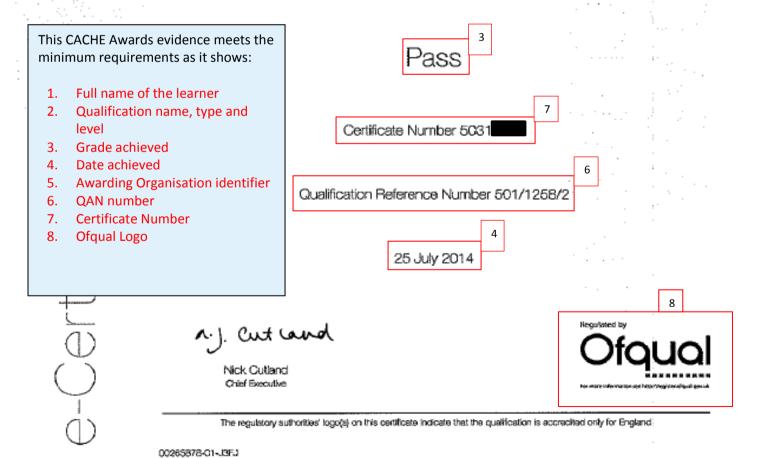


Council for Awards in Care, Health and Education

CACHE Level 3 Diploma in Health and Social Care (Adults) for England (QCF)



has achieved this accredited qualification at the grade of



This BHEST evidence meets the minimum requirements as it shows:

- 1. Full name of the learner
- 2. Qualification name, type and level
- 3. Date achieved
- 4. Awarding Organisation identifier
- 5. QAN number
- 6. Certificate Number
- 7. Ofqual Logo



4



LEVEL 2 DIPLOMA IN WORK BASED RACEHORSE CARE (QCF)

501/1697/6

LauAdan

David Adam

Chairman

Date 1 July 2014

Certificate Number 37524 (1)



2





This certificate has been awarded to

Peter

FDQ Level 2 Certificate for Proficiency in Meat & Poultry Industry Skills (QCF)

600/0518/X

In achievement of this qualification the learner has been awarded a total of 30 credits

This FDQ evidence meets the minimum requirements as it shows:

- 1. Full name of the learner
- 2. Qualification name, type and level
- 3. Date achieved
- 4. Awarding Organisation identifier
- 5. QAN number
- 6. Certificate Number
- 7. Ofqual Logo

Amanda Clark
Chief Executive

21st July 2014 3

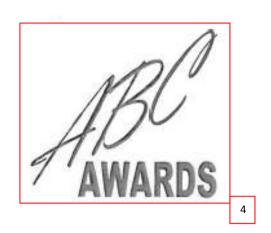
This certificate is awarded by FDQ Limited who are a recognised awarding organisation in England, Northern Ireland and Wales

European Qualification Framework Level 3

Ofqual









has been awarded the

ABC Level 2 Certificate in Footwear and Leather (NVQ) (Footwear Production)

This ABC Awards evidence meets the minimum requirements as it shows:

- 1. Full name of the learner
- 2. Qualification name, type and level
- 3. Date achieved
- 4. Awarding Organisation identifier
- 5. Certificate Number
- 6. Ofqual Logo





Paul Eeles Chief Executive

Acceptable Evidence Guidance document 19th December 2014 © FISSS



Qualification Certificate

MARC

has achieved the

NCFE LEVEL 2 NVQ CERTIFICATE IN CUSTOMER SERVICE

The credit and unit(s) are listed on the accompanying Credit and Unit Summary Certificate

This NCFE evidence meets the minimum requirements as it shows:

- 1. Full name of the learner
- 2. Qualification name, type and level
- 3. Date achieved
- 4. Awarding Organisation identifier
- 5. QAN number
- 6. Certificate Number
- 7. Candidate Number
- 8. Ofqual Logo

David Grailey Chief Executive NCFE 3 Qualification number: 501/0263/1
Date awarded: 02/06/2014
Candidate number: 10: 97
Certificate number: 8462425
Session: 2012/2013
Credit value: 28

Terry Hodgkinson CBE Chair NCFE





nets



QUALIFICATION CERTIFICATE

This is to certify that

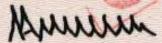
First name Surname

has been awarded the

IMIAL Level 3 Diploma in Light Vehicle Maintenance and Repair Competence (VCQ)

500/9815/9

Signed on behalf of IMI Awards Ltd



Alan Mackrill Managing Director

Certificate Number: ND03376/13

This example IMI Awards evidence meets the minimum requirements as it shows:

- 1. Full name of the learner
- 2. Qualification name, type and level
- 3. Date achieved
- 4. Awarding Organisation identifier
- 5. QAN number
- 6. Certificate Number
- 7. Candidate number
- 8. Ofqual Logo

Date Issued: 11/02/2013

Candidate Number: 09450213 7









00080659







Certificate



has been awarded the following Qualification

Level 2 Diploma in Fencing

This example of LANTRA Awards evidence meets the minimum requirements as it shows:

- Full name of the learner
- Qualification name, type and level
- Date achieved
- **Awarding Organisation identifier**
- **QAN** number
- **Certificate Number**
- 7. Date of Issue
- Ofqual Logo

Date of issue: 09 Jan 2013

Date Achieved: 17/12/2012

Cert Ref: 55(2)

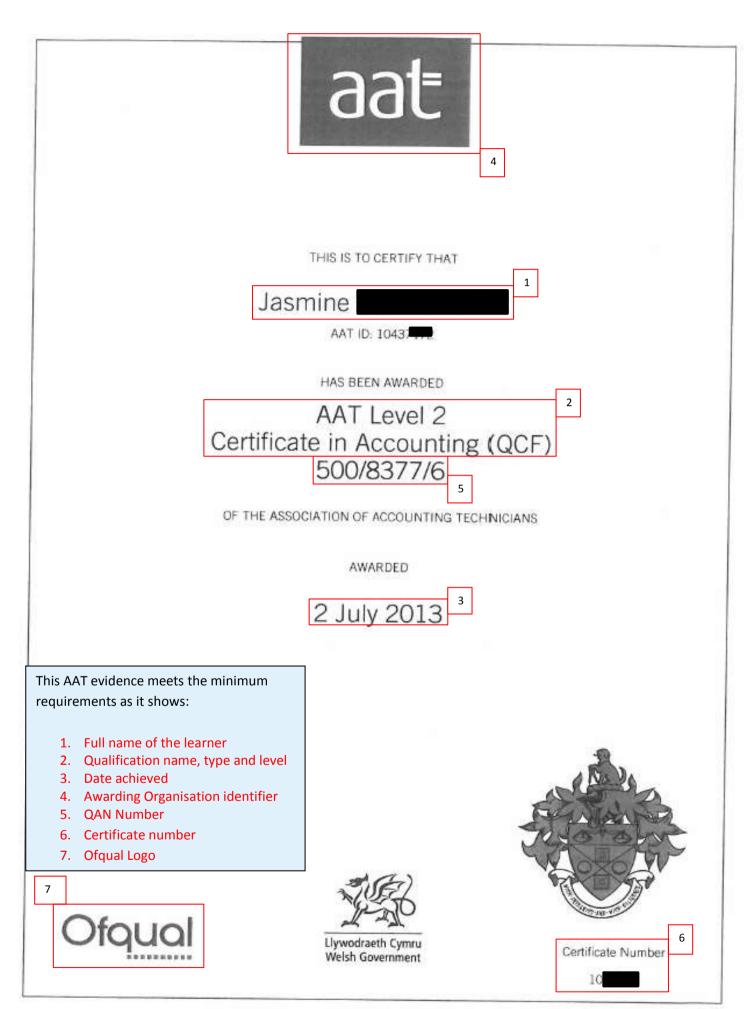
Qual No.: 500/4216/6

Robert Tabor Responsible Officer Valerie Owen OBE

Chair

Lantra, Lantra House, Stoneleigh Park, Coventry, Warwickshire CV8 2LG





This certificate is the property of AAT. AAT is a registered charity. No. 1050724

NORTHERN IRELAND COUNCIL FOR THE CURRICULUM, EXAMINATIONS AND ASSESSMENT

GENERAL CERTIFICATE of SECONDARY EDUCATION

5

This is to certify that

BUCHANAN, A 1

obtained the following grades in

SUMMER

2012

SEVEN SUBJECTS

ENGLISH LANGUAGE 2
ENGLISH LITERATURE
GEOGRAPHY
MATHEMATICS
RELIGIOUS STUDIES
GCIENCE: DOUBLE AWARD
TECHNOLOGY AND DESIGN

9 8

GRADE C 500/7934/7

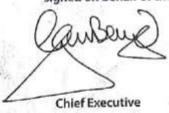
GRADE D 500/7943/8

GRADE C 500/4429/1

This CEA certificate evidence meets the minimum requirements as it shows:

- 1. Full name of the learner
- 2. Qualification name
- 3. Grade achieved
- 4. Date achieved
- 5. Awarding Organisation identifier
- 6. Certificate Number
- 7. Date of Birth
- 8. QAN Number
- 9. Ofqual Logo

signed on behalf of the Council for the Cur











Confirmation of Achievement 31st October 2012

CERTIFICATE NUMBER 6

DATE OF BIRTH 7

CANDIDATE NUMBER



This is to certify that



has been awarded the

SQA Functional Skills Qualification in

English at Level 2

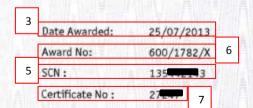
This SQA certificate evidence meets the minimum requirements as it shows:

- 1. Full name of the learner
- 2. Qualification name, type and level
- 3. Date achieved
- 4. Awarding Organisation identifier
- 5. Candidate Number (SCN or ULN)
- 6. QAN Number
- 7. Certificate Number
- 8. Ofqual Logo

Dr Janet Brown Chief Executive SQA











General Certificate of Secondary Education

2

This is to certify that in the examination held in JUNE 2010 4

1 BROGAN date of birth 6 AUGUST 8

achieved the following result at

WESTON FAVELL SCHOOL

APPLIED PERFORMING ARTS (DOUBLE AWARD) (100/360000)

GRADEB(b) GRADEC(c)

GRADEC(e) 3

This AQA certificate evidence meets the minimum requirements as it shows:

- 1. Full name of the learner
- 2. Qualification name, type and level
- 3. Grade achieved
- 4. Date achieved
- 5. Awarding Organisation identifier
- 6. Candidate Number
- 7. OAN Number
- 8. Date of Birth
- 9. Certificate Number
- 10. Ofqual Logo

CENTRE No./CANDIDATE No. 272

Andrew Hall
Chief Executive Officer
on behalf of the Assessment and Qualifications Alliance

10 Ofqual

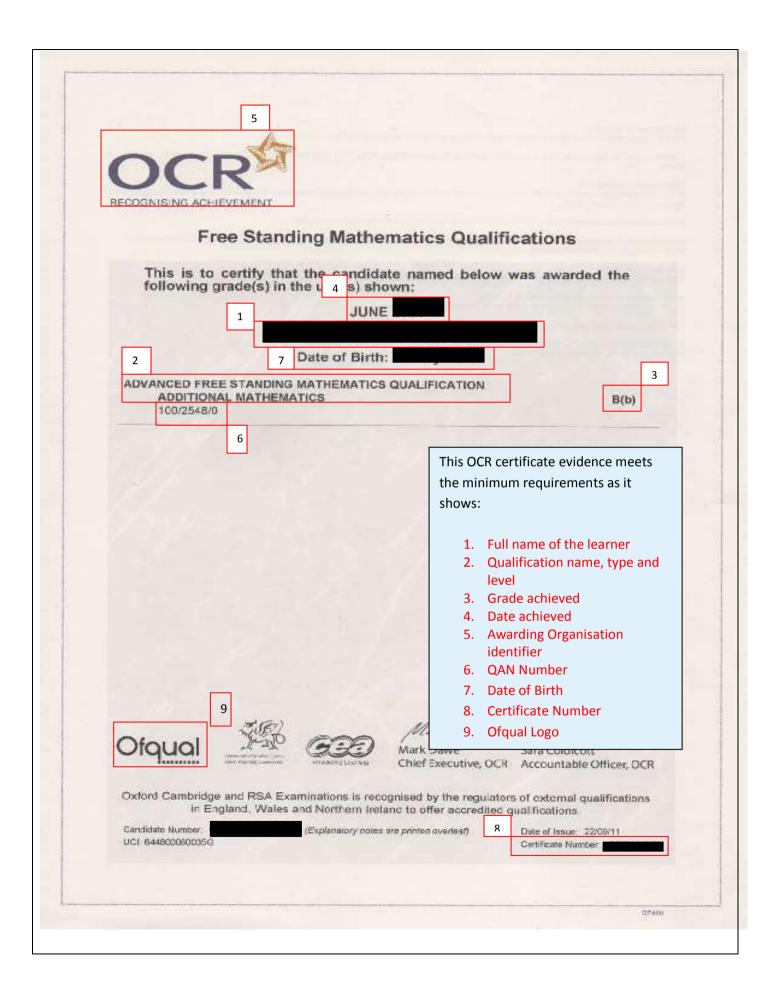


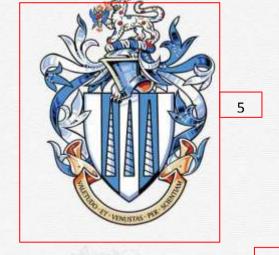




G0713330

1110





Anne Example

has satisfied the requirements for the qualification

VTCT Level 3 Certificate in Assessing Nacational Achievement (QCF)

This VTCT certificate evidence meets the minimum requirements as it shows:

- 1. Full name of the learner
- 2. Qualification name, type and level
- 3. Certificate Date
- 4. Candidate Registration Number
- 5. Awarding Organisation identifier & Ofqual Logo
- 6. QAN Number

Date: 10/06/2013

(500/9758/1)

at

Lowestoft College

Registration No: 1041389

The award of this qualification is based on the successful attainment of the National Standards in units of competence as detailed on a Record of Achievement and/or one of more Certificates of Unit Credit.

Dr Stephen Vickers Chief Executive

Registered in England and Wales number 2050044 Registered as a national charity in Great Britain number 295192

The regulatory logos on this certificate indicate the qualification is accredited for **England and Wales**









Other Certification Systems

Some Awarding Organisations have developed their own online database certification systems and this document includes examples of many of these and the types of evidence that can be obtained from them.

Other Awarding Organisations use third party IT systems to provide a platform for managing their certification processes. Two of the larger ones in use are Quartz and Parnassus. The following section of this document provides some overview information about each of these systems and the Awarding Organisations that currently use them.

Although these systems are used by multiple Awarding Organisations, they are usually tailored to meet the needs of individual organisations and so may not always look the same but reports and screen shots should always contain the same basic information.

Portico - Quartz

Portico owns the Quartz system which is the name of one of the larger certification systems used by some Awarding Organisations. The Quartz system can be adapted to suit the requirements of each user organisation but in principle they are all built in the same way.

Therefore, in principle, screen shots from the following Awarding Organisations will be accepted as part of an Apprenticeship Completion Certificate claim, providing that they meet the minimum stated data requirements*: -

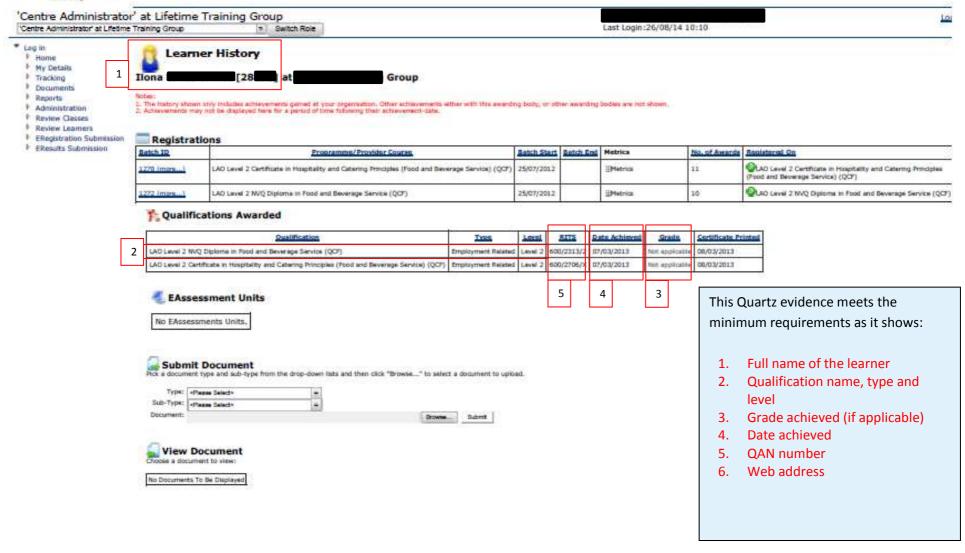
- Active IQ
- Agored Cymru
- Ascentis
- ATHE
- BHEST
- CTH
- IAB
- IoLET (22nd October 2013)
- Kaplan Professional Awards
- Lantra Awards
- Lifetime Awarding
- NOCN
- City College Norwich

- Aim Awards
- OCN Eastern Region
 - OCN London Region
- OCN North East Region
- OCN Northern Ireland
- Open Awards
- Laser Awards
- apt Awards
- OCNWMR
- OCN Yorkshire Humber Region
- Trident Awards
- UAL Awarding Body

- Full name of the learner
- Qualification name
- Level/grade achieved
- Date of achievement/award
- Awarding Organisation identifier

^{*}The **minimum** data requirements that must be included on any reports or screen shots from are:





Parnassus

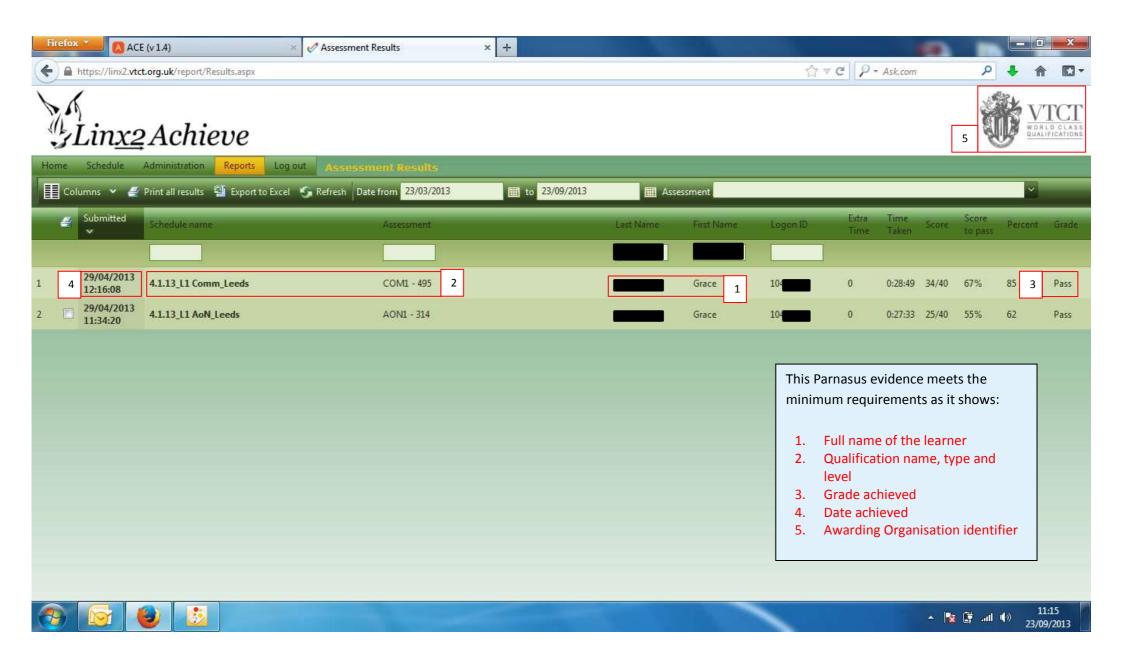
Parnassus is another of the larger certification systems used by Awarding Organisations. The Parnassus system can be adapted to suit the requirements of each user organisation but in principle they are all built in the same way.

Therefore, in principle, screen shots from the following Awarding Organisations will be accepted as part of an Apprenticeship Completion Certificate claim, providing that they meet the minimum stated data requirements*: -

- 1st 4Sport
- ABBE
- ASA
- BIFM
- CIBTAC
- CYQ
- Future
- ISMM
- Logic Certification
- SkillsFirst
- VTCT

*The **minimum** data requirements that must be included on any reports or screen shots from are:

- Full name of the learner
- Qualification name
- Level/grade achieved
- Date of achievement/award
- Awarding Organisation identifier



On-going Developments

Peer-to-Peer Data Transfer

The Federation is continuing to work in partnership with many Awarding Organisations to develop the possibility of direct data transfer between their management information systems and ACE. This is known as **peer-to-peer** data transfer.

The peer-to-peer transfer works on the basis that an Awarding Organisation will send the learner achievement data for NVQs, Diploma's and Functional Skills etc. straight to the ACE system where the relevant data fields in ACE will be auto populated. This will enable ACE users to see the achievement data required, whilst at the same time being assured of its origin, robustness and validity.

SOAP Transfer

A trial is currently taking place on a process known as a **SOAP** transfer. This basic concept is that a PDF document can be sent directly in to ACE by de-coding it, sending it electronically and then re-creating it within the appropriate data field in ACE. The results of this trial will be shared, in due course.

In addition, the Federation is currently actively engaged with the Skills Funding Agency to facilitate a direct data link to the information input to the Learning Record Service (LRS) database by Awarding Organisations. This information, which is used to populate individual Personal Learning Records (LRS), could then be accessed to facilitate an auto-populate of the relevant data fields within ACE.

Unique Learner Numbers

A Unique Learner Number (ULN) is a unique 10 digit reference number which is assigned to all learners who have a PLR record created in the LRS database. Each ULN is issued, and held by the LRS Organisation Portal. The LRS Organisation Portal uses the number to index each learner's identity details and their education and training qualifications within the PLR.

The 10-digit ULN has been designed to ensure that no additional meaning can be inferred from its structure, for example, geographical location or level of learning. Its purpose is purely to provide a unique identifier for each individual's Personal Learning Record.

Learners will retain the same unique number for accessing their PLR throughout their lives. The number will stay the same regardless of their level of learning and irrespective of where they choose to participate in education, training and learning.

The ULN is growing in significance as, for the first time, this identifier will be used for all qualifications for individual learners from the age of 14 upwards. Eventually the ULN will be used from the day you start school at 4/5 until the day you retire.

Previously, a separate identifier was used in schools (UCI) and then a separate ULN was issued at FE/HE level. This has caused confusion and through the use of a single identifier (the ULN) there will be greater unity across all learning arenas and facilitate easier access to an individual's learning records and reports.

Summary

Although the preferred form of evidence is still the actual achievement certificate, all of the evidence examples contained within this guidance document may be used as robust, acceptable evidence when claiming for an Apprenticeship Completion Certificate on ACE. However, they must meet the **minimum** data requirements, as highlighted within this document. Where multiple qualifications are evidenced on the one document please indicate the qualification being evidenced.

If you have any questions or queries as to whether or not an evidence type that you have will be acceptable then please contact the relevant Certification Body **before** you submit a claim on ACE. A list of all of the Certification Body contacts details is available on the ACE website.

Appeals Process

If you believe that a piece of evidence which you have submitted as part of an Apprenticeship Completion Certificate claim should be acceptable, based on the examples included in this guidance document and the general principles of the minimum acceptable requirements, but it has been rejected by the Certification Body then you do have the opportunity to appeal.

Full details of how to access the Appeals process, and when and how to appeal, are available on the <u>ACE website.</u>

Additional support, guidance & information

Transferable Skills – Guidance Notes

On the ACE website there are a number of other support documents to help you to make a successful Apprenticeship Completion Certificate claim on ACE. One of which is the Transferable Skills Guidance Document which gives further guidance and clarity on what is, and isn't acceptable, as evidence of an Apprentices achievement of the English, Maths and ICT requirements of their BP or SASE Apprenticeship. This, and other support materials, can be found under the Knowledge Base tab on the ACE website.

ACE-IT

The Federation have developed a new online training tool which has been designed to inform and train ACE users in fully understanding the acceptable evidence requirements for making a successful Apprenticeship Completion Certificate claim on ACE.

As a brief overview, ACE-IT consists of a large database of example evidence, some of which will be acceptable and others not. Individual ACE users will register to use the tool and create themselves an ACE-IT account. Each online exercise will consist of a set of questions (approx. 15/20 per session) and users will be required to review each piece of evidence and decide whether or not it is acceptable within the specific context you will be given. If it is not acceptable, there will be a series of multiple choice answers to choose from explaining why the user thinks that the evidence is not acceptable. At the end of each exercise session users will be given their results and will have the opportunity to review any questions which were answered incorrectly and be given the correct reasons for the evidence being unacceptable. The questions presented in each session will be randomly selected from the ACE-IT database, so each session will be different. Users will be able to access the online tool as often as they like.

For information on how to register for an ACE IT account and on how to complete a training exercise, please read the instructions on the <u>ACE IT</u> Homepage_carefully. Should you require further support please contact the <u>ACE Support Team</u>.

ACE Checklist

We are pleased to inform you that a new ACE guidance document is now available for your use and reference. This is an ACE Checklist – Guidance to Making a Successful ACE Claim.

This is an interactive pdf file and it can be used either onscreen or printed out to use as a reference document when submitting Apprenticeship Certification claims on ACE. It includes lots of hints and tips on how to make a successful ACE claim and how to avoid some of the more common mistakes which can lead to claims being rejected.

The new checklist can be accessed here on the ACE Knowledge Base

If you have any comments or feedback on any of the ACE support documentation then please raise these with us via the new online <u>ACE Help Desk</u>.

ACE Helpdesk

The Helpdesk is an internal messaging system enabling you to raise tickets (queries) on any issue or question that you have relating to apprenticeship certification. These tickets are automatically picked up by the Federation System Support Team, who will either respond with an answer to your query or redirect it to the appropriate member of Federation staff.

The Helpdesk offers an increased emphasis on customer service with faster response times and, through greater monitoring of common queries, allows us to provide more efficient support and tailored guidance to ACE users. Having a centralised Helpdesk will also ensure that important information can easily be shared across your organisation.

The helpdesk is accessible by logging into ACE and clicking on the tab at the top right corner of the ACE dashboard (next to the log-out tab). <u>ACE Online Helpdesk</u> guidance is currently available from our Knowledge Base.

Contact Us

We will be continually reviewing and updating this document and would appreciate your help in doing this. If you have an evidence example that is not currently included within this document but you believe that it would be acceptable, based on the principles outlined in this document, and then please send us a copy for our consideration.

All evidence examples for review and consideration to be sent through the ACE Helpdesk (<u>ACE Online Helpdesk</u>) via the evidence queue.

You can contact the Federation for further support and guidance using on the ACE website